Introduction to the National Response Plan and National Incident Management System

This presentation will cover:

- **Homeland Security Presidential Directive (HSPD)-5**

- **National Incident Management System (NIMS)**

- **National Response Plan**

- **Questions**

HSPD-5: *Management of Domestic Incidents*

- Single, comprehensive approach to domestic incident management

- Applicable to terrorist attacks, major disasters and other emergencies

- Fosters coordination across all levels of government and promotes partnerships with private sector and NGOs

- Crisis and consequence management treated as a single, integrated function

The National Incident Management System (NIMS)

The purpose of the NIMS is to provide a nationwide template to help **all levels of government**, private sector and non-governmental organizations to work together to **prepare for, prevent, respond to, and recover from** domestic incidents **regardless of cause, size or complexity**, including acts of catastrophic terrorism.
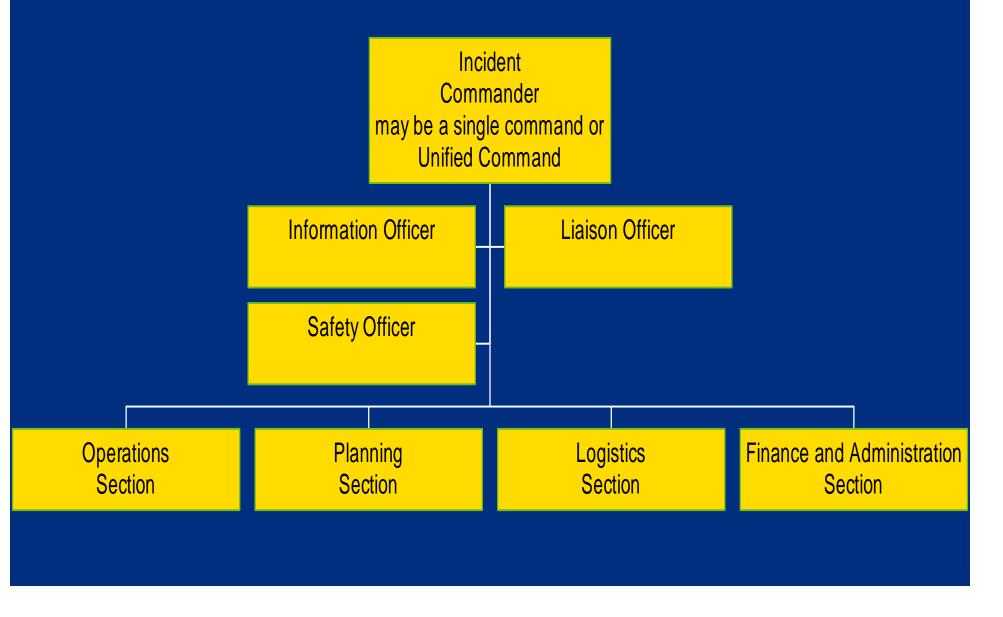
The National Incident Management System (NIMS)

- **The Incident Command System (ICS)**

- **Multi-agency Coordination Systems**

- **Unified Command**

- **Training**

- **Identification and Management of Resources**

- **Qualifications and Certification**

- **Incident Information and Resource Management**

The National Incident Management System (NIMS)

The basic characteristics of ICS:

-Common Terminology

-Modular Organization

-Management by Objectives

-Reliance on an Incident Action Plan

-Manageable Span of Control

The National Incident Management System (NIMS)

The basic characteristics of ICS:

-Comprehensive Resource Management

-Integrated Communications

-Establishment & Transfer of Command

-Chain of Command & Unity of Command

-Unified Command

National Incident Management System (NIMS)

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                    │     Incident                │
                    │     Commander               │
                    │ may be a single command or  │
                    │     Unified Command         │
                    └─────────────────────────────┘

        ┌──────────────────────┐   ┌──────────────────────┐
        │ Information Officer   │   │   Liaison Officer    │
        └──────────────────────┘   └──────────────────────┘

        ┌──────────────────────┐
        │   Safety Officer     │
        └──────────────────────┘

┌──────────────┐  ┌──────────────┐  ┌──────────────┐  ┌──────────────────────────┐
│ Operations   │  │  Planning    │  │  Logistics   │  │ Finance and Administration│
│ Section      │  │  Section     │  │  Section     │  │        Section            │
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Existing Authorities

The NRP:

- **Uses the foundation provided by the Homeland Security Act, HSPD-5, and the Stafford Act to provide a comprehensive, all-hazards approach to domestic incident management**

- **Does NOT alter or impede the ability of Federal agencies to carry out their specific authorities**
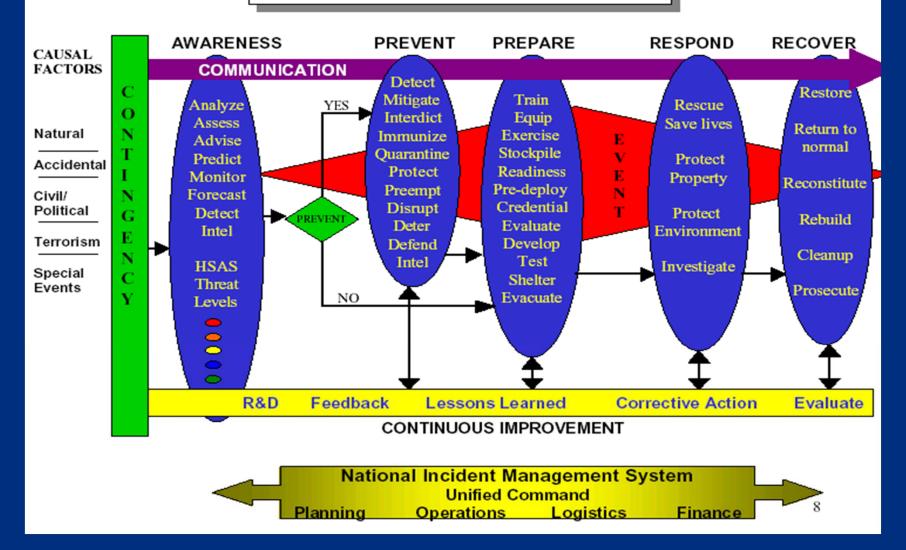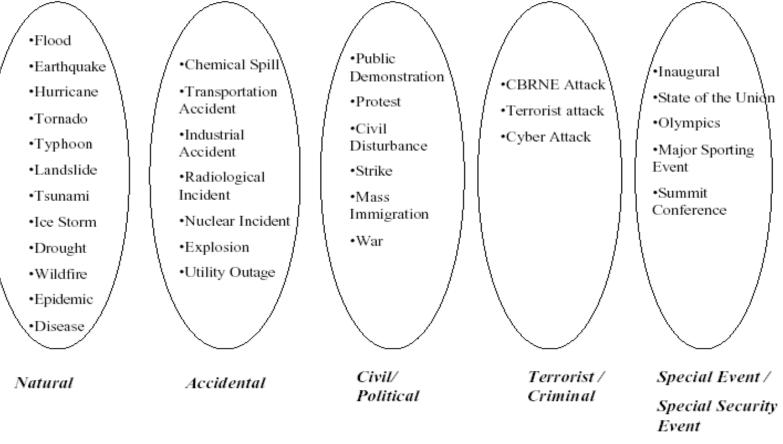
The National Response Plan (NRP)

Homeland Security Presidential Directive-5 (HSPD-5) directed that a new National Response Plan be developed to:

- Ensure an all-discipline and all-hazards approach to domestic incident management
  - Integrate crisis & consequence management
- Align Federal coordinating structures, capabilities, and resources
  - Incorporate existing plans

NATIONAL RESPONSE PLAN

CAUSAL FACTORS

AWARENESS — PREVENT — PREPARE — RESPOND — RECOVER

COMMUNICATION

Natural
Accidental
Civil/Political
Terrorism
Special Events

CONTINGENCY

AWARENESS
Analyze
Assess
Advise
Predict
Monitor
Forecast
Detect
Intel

HSAS Threat Levels

PREVENT

YES

NO

PREVENT
Detect
Mitigate
Interdict
Immunize
Quarantine
Protect
Preempt
Disrupt
Deter
Defend
Intel

PREPARE
Train
Equip
Exercise
Stockpile
Readiness
Pre-deploy
Credential
Evaluate
Develop
Test
Shelter
Evacuate

EVENT

RESPOND
Rescue
Save lives
Protect Property
Protect Environment
Investigate

RECOVER
Restore
Return to normal
Reconstitute
Rebuild
Cleanup
Prosecute

R&D   Feedback   Lessons Learned   Corrective Action   Evaluate

CONTINUOUS IMPROVEMENT

National Incident Management System
Unified Command
Planning   Operations   Logistics   Finance

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Contingencies / Hazards / Incidents / Events

Natural
- Flood
- Earthquake
- Hurricane
- Tornado
- Typhoon
- Landslide
- Tsunami
- Ice Storm
- Drought
- Wildfire
- Epidemic
- Disease

Accidental
- Chemical Spill
- Transportation Accident
- Industrial Accident
- Radiological Incident
- Nuclear Incident
- Explosion
- Utility Outage

Civil/ Political
- Public Demonstration
- Protest
- Civil Disturbance
- Strike
- Mass Immigration
- War

Terrorist / Criminal
- CBRNE Attack
- Terrorist attack
- Cyber Attack

Special Event / Special Security Event
- Inaugural
- State of the Union
- Olympics
- Major Sporting Event
- Summit Conference

Not all inclusive – but representing preponderance of events experienced and potentially experienced in U.S.   9

Incidents of National Significance

- A Federal department or agency, responding under its own authorities, requests DHS assistance **(Federal-to-Federal support)**

- Resources of State and local authorities are overwhelmed
  - **Stafford Act- major disasters or emergencies**
  - **Other catastrophic incidents**

- More than one Federal department or agency are involved
  - **Credible threats or indications of imminent terrorist attack**
  - **Threats/incidents related to high-profile, large-scale events**

- The President directs DHS to assume responsibility for incident management using the NRP

Construction of the NRP

Supersedes

- **Federal Response Plan**
- **Domestic Terrorism Concept of Ops Plan**
- **Federal Radiological Emergency Response Plan**
- **Initial NRP**

Integrates

- **Other national-level contingency plans**

National Response Plan

September 2004

Homeland Security

Incorporates key INRP concepts

- **Homeland Security Ops Center (HSOC)**
- **Interagency Incident Management Group**
- **Principal Federal Official (PFO)**
- **Joint Field Office (JFO)**

Foundation: **National Incident Management System (NIMS)**

NRP Structure

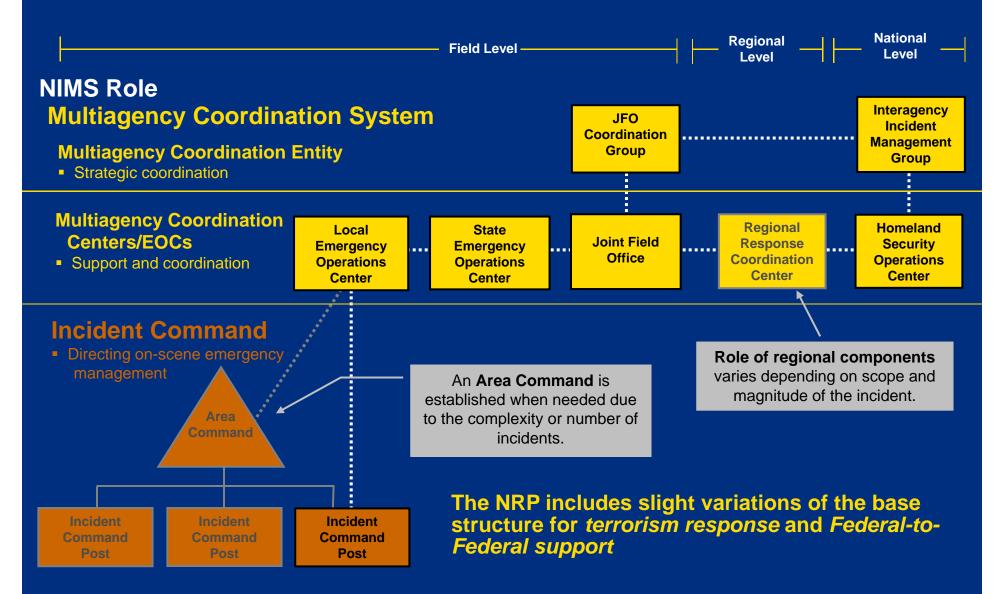|	
Base Plan	**Describes the domestic incident management structures and processes**
Appendixes	**Include acronyms, definitions, authorities, and a compendium of national interagency plans**
Emergency Support Function Annexes	**Describe the structures and responsibilities for coordinating incident resource support**
Support Annexes	**Provide guidance for the functional processes and administrative requirements**
Incident Annexes	**Address contingency or hazard situations requiring specialized application of the NRP**

NRP Coordinating Structures

- Enable execution of the responsibilities of the President through the appropriate Federal departments and agencies

- Integrate Federal, State, local, tribal, nongovernmental organization, and private-sector efforts into a comprehensive national approach to domestic incident management

- Provide a national capability

  - Ability to address impacts to the rest of the country, execute immediate nation-wide actions to avert or prepare for subsequent events, and manage multiple incidents

NRP Coordination Structures

	Field Level			Regional Level	National Level

NIMS Role

Multiagency Coordination System

Multiagency Coordination Entity
- Strategic coordination

Multiagency Coordination Centers/EOCs
- Support and coordination

Incident Command
- Directing on-scene emergency management

JFO Coordination Group		Interagency Incident Management Group

Local Emergency Operations Center	State Emergency Operations Center	Joint Field Office	Regional Response Coordination Center	Homeland Security Operations Center

Area Command

Incident Command Post | Incident Command Post | Incident Command Post

An **Area Command** is established when needed due to the complexity or number of incidents.

Role of regional components varies depending on scope and magnitude of the incident.

The NRP includes slight variations of the base structure for *terrorism response* and *Federal-to-Federal support*
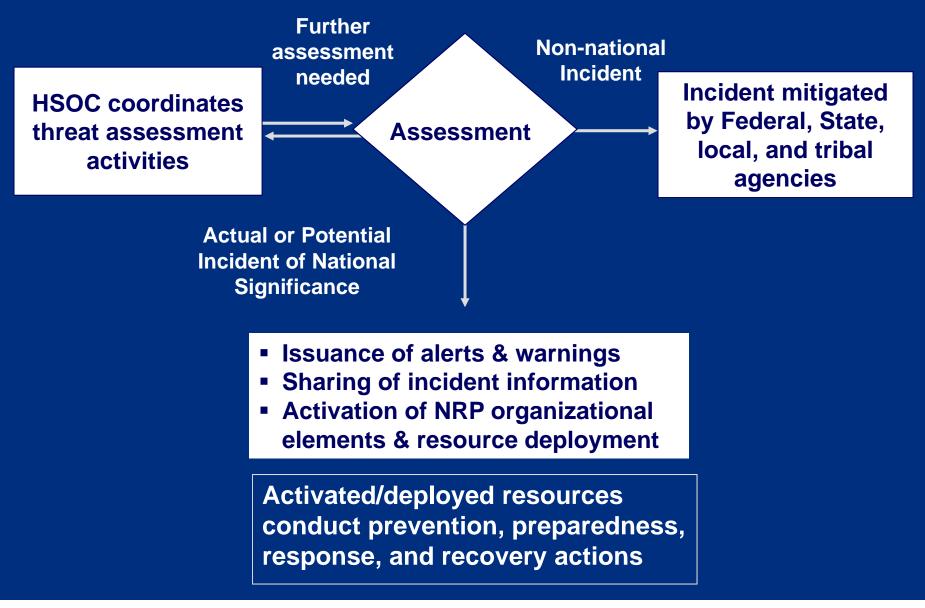
Notification & Assessment

- **Federal, State, local, tribal, private-sector, and nongovernmental organizations report threats, incidents, and potential incidents**

- **Homeland Security Operations Center (HSOC):**
    - **Monitors threats or potential threats**
    - **Receives threat and operational information**
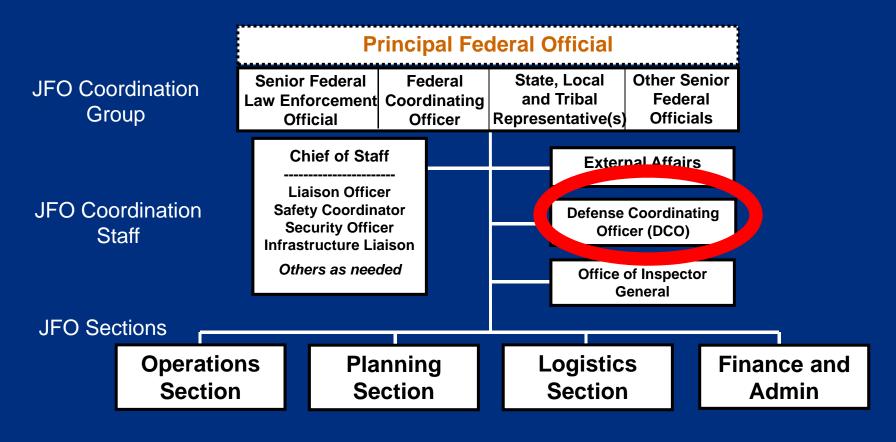
Reports and Notification
↓
HSOC

Incidents are typically managed at the lowest possible geographic, organizational, and jurisdictional level

Assessment & Activation

HSOC coordinates threat assessment activities

Further assessment needed

Assessment

Non-national Incident

Incident mitigated by Federal, State, local, and tribal agencies

Actual or Potential Incident of National Significance

- **Issuance of alerts & warnings**
- **Sharing of incident information**
- **Activation of NRP organizational elements & resource deployment**

Activated/deployed resources conduct prevention, preparedness, response, and recovery actions

Joint Field Office

- **JFO is the focal point for coordination of Federal support to on-scene incident management efforts**

JFO Coordination Group

Principal Federal Official

Senior Federal Law Enforcement Official	Federal Coordinating Officer	State, Local and Tribal Representative(s)	Other Senior Federal Officials

JFO Coordination Staff

Chief of Staff

Liaison Officer
Safety Coordinator
Security Officer
Infrastructure Liaison
Others as needed

External Affairs

Defense Coordinating Officer (DCO)

Office of Inspector General

JFO Sections

Operations Section	Planning Section	Logistics Section	Finance and Admin

Integrates traditional JOC and DFO functions

Emergency Support Functions

- ESF #1 - Transportation
- ESF #2 - Communications
- ESF #3 - Public Works and Engineering
- ESF #4 - Firefighting
- ESF #5 - Emergency Management
- ESF #6 - Mass Care, Housing, and Human Services
- ESF #7 - Resource Support
- ESF #8 - Public Health and Medical Services
- ESF #9 - Urban Search and Rescue
- ESF #10 - Oil and Hazardous Materials Response
- ESF #11 - Agriculture and Natural Resources
- ESF #12 - Energy
- ESF #13 - Public Safety and Security
- ESF #14 - Long-term Community Recovery and Mitigation
- ESF #15 - External Affairs

Support Annexes

- **Financial Management**

- **International Coordination**

- **Logistics Management**

- **Private Sector Coordination**

- **Public Affairs**

- **Science and Technology**

- **Tribal Relations**

- **Volunteer and Donations Management**

- **Worker Safety and Health**

Incident Annexes

- **Biological Incident**

- **Catastrophic Incident**

- **Cyber Incident**

- **Food and Agriculture Incident**

- **Nuclear/Radiological Incident**

- **Oil and Hazardous Materials Incident**

- **Terrorism Incident Law Enforcement and Investigation**

Questions?