### APPENDIX J

# Summary of FY 2001 Environmental Quality Fines and Penalties Assessed and Paid

This appendix meets the requirements for fines and penalties analysis under Public Law 106-65 Department of Defense Authorization Act for FY 2000. The analysis is presented using data from FY 2001 and the six preceding fiscal years, where this full data set is available. Not all of the required data covers this time period and hence some of the analysis only covers FY 1997 through FY 2001.

This appendix includes an analysis of fines assessed and paid by DoD and the Components. The analysis of fines paid shows the amount paid in cash in current year dollars and the amounts

invested in Supplemental Environmental Projects (SEPs) against the originally assessed fine. (Completion of SEPs in lieu of fines is typically more costly than cash payments.) A fine assessed in one fiscal year may not necessarily be paid until a later fiscal year (the amounts assessed and paid are shown in current year dollars). In addition, the analysis includes a breakdown of fines by statute and indicates the origin of the fines (i.e., Federal, state, or local regulatory authority).

## **DoD Component**

#### Fines Assessed in Fiscal Year 2001

**Amount Assessed** 

| E            | PA         |
|--------------|------------|
| Army         | \$ 798,7   |
| Navy         | \$ 151,0   |
| Marine Corps | \$ 20,8    |
| Air Force    | \$ 135,7   |
| DLA          | \$         |
| EPA Total    | \$ 1,106,3 |

| STATE        |    |         |  |  |  |  |  |
|--------------|----|---------|--|--|--|--|--|
| Army         | \$ | 370,601 |  |  |  |  |  |
| Navy         | \$ | 61,400  |  |  |  |  |  |
| Marine Corps | \$ | -       |  |  |  |  |  |
| Air Force    | \$ | 61,794  |  |  |  |  |  |
| DLA          | \$ | 19,387  |  |  |  |  |  |
| State Total  | \$ | 513,182 |  |  |  |  |  |

|              | LOCAL |              |
|--------------|-------|--------------|
| Army         |       | \$<br>-      |
| Navy         |       | \$<br>18,100 |
| Marine Corps |       | \$<br>-      |
| Air Force    |       | \$<br>1,050  |
| DLA          |       | \$<br>-      |
| Local Total  |       | \$<br>19,150 |

# DoD Component Fines Assessed in Fiscal Year 2001 Amount Assessed

#### **DoD COMPONENT TOTAL**

| Army         | \$<br>1,169,347 |
|--------------|-----------------|
| Navy         | \$<br>230,500   |
| Marine Corps | \$<br>20,893    |
| Air Force    | \$<br>198,561   |
| DLA          | \$<br>19,387    |
| Grand Total  | \$<br>1,638,688 |

| Army  |       | Fines Assessed in Fiscal Year 2001 |                   |                  |    |                   |  |  |  |
|---|-------|------------------------------------|-------------------|------------------|----|-------------------|--|--|--|
| Installations by Federal,<br>State, and Local | State | Authority                          | Issuing<br>Agency | Date<br>Assessed |    | Amount<br>ssessed |  |  |  |
|   |       | EPA                                |                   |                  |    |                   |  |  |  |
| Seneca Army Depot*                            | NY    | RCRA-C                             | II                | 09/28/01         | \$ | 51,812            |  |  |  |
| Fort Shafter*                                 | HI    | CAA                                | IX                | 09/28/01         | \$ | 184,250           |  |  |  |
| Letterkenny Army Depot                        | PA    | RCRA-C                             | III               | 10/01/00         | \$ | 162,030           |  |  |  |
| Fort Jackson**                                | SC    | CAA                                | IV                | 04/11/01         | \$ | 400,654           |  |  |  |
| EPA Subtotal                                  |       |                                    |                   |                  | \$ | 798,746           |  |  |  |
|   |       |                                    |                   |                  |    |                   |  |  |  |
|   |       | STATE                              |                   |                  |    |                   |  |  |  |
| Fort Missoula                                 | MT    | SDWA                               | Montana           | 10/01/00         | \$ | 2,324             |  |  |  |
| Fort Lewis                                    | WA    | CAA                                | Washington        | 10/13/00         | \$ | 1,000             |  |  |  |
| Pine Bluff Arsenal                            | AR    | RCRA-C                             | Arkansas          | 11/06/00         | \$ | 9,000             |  |  |  |
| Fort Sam Houston                              | TX    | CWA                                | Texas             | 01/22/01         | \$ | 4,000             |  |  |  |
| Umatilla Chemical Disposal Facility           | OR    | RCRA-C                             | Oregon            | 01/24/01         | \$ | 3,000             |  |  |  |
| Fort Knox                                     | KY    | CWA                                | Kentucky          | 02/28/01         | \$ | 13,200            |  |  |  |
| Holland DMPS (U.S. Army Corps of Engineers)   | MI    | CWA                                | Michigan          | 03/13/01         | \$ | 92,000            |  |  |  |
| Fort Bragg                                    | NC    | CAA                                | North Carolina    | 04/11/01         | \$ | 17,711            |  |  |  |
| Picatinny Arsenal                             | NJ    | CAA                                | New Jersey        | 04/19/01         | \$ | 1,000             |  |  |  |
| Fort Shafter                                  | HI    | RCRA-I                             | Hawaii            | 05/08/01         | \$ | 600               |  |  |  |
| Tooele Chemical Agent Disposal Facility       | UT    | CAA                                | Utah              | 05/17/01         | \$ | 1,500             |  |  |  |
| Picatinny Arsenal                             | NJ    | CAA                                | New Jersey        | 07/03/01         | \$ | 67,500            |  |  |  |
| Tooele Army Depot                             | UT    | RCRA-C                             | Utah              | 07/05/01         | \$ | 26,720            |  |  |  |
| Fort Leonard Wood***                          | MO    | CAA                                | Missouri          | 08/10/01         | \$ | 44,046            |  |  |  |
| Camp Santiago                                 | PR    | RCRA                               | Puerto Rico       | 08/27/01         | \$ | 12,000            |  |  |  |
| U.S. Military Academy                         | NY    | CWA                                | New York          | 09/18/01         | \$ | 75,000            |  |  |  |
| State Subtotal                                |       |                                    |                   |                  | \$ | 370,601           |  |  |  |

| Army  |       | Fines Assessed in Fiscal Year 2001 |                   |                  |      |                |  |
|---|-------|------------------------------------|-------------------|------------------|------|----------------|--|
| Installations by Federal,<br>State, and Local | State | Authority                          | Issuing<br>Agency | Date<br>Assessed |      | nount<br>essed |  |
|   |       | LOCAL                              |                   |                  |      |                |  |
| None  |       |                                    |                   |                  | \$   | -              |  |
| Local Subtotal                                |       |                                    |                   |                  | \$   | -              |  |
| -   |       |                                    |                   |                  |      |                |  |
| Army Total                                    |       |                                    |                   |                  | \$ 1 | ,169,347       |  |

<sup>\*</sup> This fine was assessed in FY 2000 but was not reported. Therefore, the fine amount is not included in FY 2001 totals. \*\* SC originally issued the fine for \$5,000 in FY 1998. Case was reopened by EPA and the fine was reassessed.

<sup>\*\*\*</sup> The fine assessed was an administrative fee.

| Navy                                       | Fines Assessed in Fiscal Year 2001 |               |                   |                  |    |                   |
|--|------------------------------------|---------------|-------------------|------------------|----|-------------------|
| Installations by Federal, State, and Local | State                              | Authority     | Issuing<br>Agency | Date<br>Assessed |    | Amount<br>ssessed |
|  |                                    | EPA           |                   |                  |    |                   |
| Naval Air Station Jacksonville             | FL                                 | RCRA-C        | IV                | 11/02/00         | \$ | 150,000           |
| COMNAVBASE Pearl Harbor                    | HI                                 | RCRA-I        | IX                | 11/02/00         | \$ | 100               |
| COMNAVBASE Pearl Harbor                    | HI                                 | RCRA-I        | IX                | 11/08/00         | \$ | 900               |
| EPA Subtotal                               |                                    |               |                   |                  | \$ | 151,000           |
|  |                                    |               |                   |                  |    |                   |
| DA OLHODANISA O DA LIA                     | 1                                  | STATE         |                   | 1.1.100.100      |    | 40.400            |
| PACMISRANFAC Barking Sands                 | HI                                 | RCRA-C        | Hawaii            | 11/02/00         | \$ | 40,100            |
| COMNAVBASE Pearl Harbor                    | HI                                 | RCRA-I        | Hawaii            | 11/17/00         | \$ | 300               |
| NAVHOSP Portsmouth                         | VA                                 | Medical Waste | Virginia          | 01/30/01         | \$ | 15,000            |
| National Naval Medical Center Bethesda     | MD                                 | CWA           | Maryland          | 04/18/01         | \$ | 5,000             |
| Weapons Station Colts Neck                 | NJ                                 | CAA           | New Jersey        | 05/09/01         | \$ | 1,000             |
| State Subtotal                             |                                    |               |                   |                  | \$ | 61,400            |
|  |                                    | LOCAL         |                   |                  |    |                   |
| SWNAVFACENGCOM San Diego                   | CA                                 | CAA           |                   | 10/05/00         | \$ | 2,500             |
| NAS LeMoore                                | CA                                 | CAA           |                   | 12/08/00         | \$ | 600               |
| PWC San Deigo                              | CA                                 | CAA           |                   | 12/15/00         | \$ | 500               |
| PWC San Deigo                              | CA                                 | CAA           |                   | 12/15/00         | \$ | 1,000             |
| PWC San Deigo                              | CA                                 | CAA           |                   | 12/15/00         | \$ | 1,000             |
| NAS North Island                           | CA                                 | CAA           |                   | 12/15/00         | \$ | 500               |
| NAS North Island                           | CA                                 | CAA           |                   | 12/15/00         | \$ | 1,000             |
| Commander Mid-Atlantic Region Norfolk      | VA                                 | CWA           |                   | 06/03/01         | \$ | 1,000             |
| Naval Region Mid-Atlantic Norfolk          | VA                                 | CWA           |                   | 07/31/01         | \$ | 1,000             |
| Naval Region Mid-Atlantic Norfolk          | VA                                 | CWA           |                   | 07/31/01         | \$ | 1,000             |
| Naval Region Mid-Atlantic Norfolk          | VA                                 | CWA           |                   | 07/31/01         | \$ | 1,000             |
| Naval Region Mid-Atlantic Norfolk          | VA                                 | CWA           |                   | 07/31/01         | \$ | 1,000             |

| Navy                                       | Fines Assessed in Fiscal Year 2001 |                                   |  |          |    |                   |  |
|--|------------------------------------|-----------------------------------|--|----------|----|-------------------|--|
| Installations by Federal, State, and Local | State                              | State Authority Issuing Agency As |  |          |    | Amount<br>ssessed |  |
| Naval Region Mid-Atlantic Norfolk          | VA                                 | CWA                               |  | 08/30/01 | \$ | 4,000             |  |
| Commander Mid-Atlantic Region Norfolk      | VA                                 | CWA                               |  | 08/31/01 | \$ | 1,000             |  |
| Naval Air Weapons Station China Lake       | CA                                 | CAA                               |  | 09/06/01 | \$ | 1,000             |  |
| Local Subtotal                             |                                    |                                   |  |          | \$ | 18,100            |  |
|  |                                    |                                   |  |          |    |                   |  |
| Navy Total                                 |                                    |                                   |  |          | \$ | 230,500           |  |

| Marine Corps                               | Fines Assessed in Fiscal Year 2001 |           |                   |                  |    |                   |  |
|--|------------------------------------|-----------|-------------------|------------------|----|-------------------|--|
| Installations by Federal, State, and Local | State                              | Authority | Issuing<br>Agency | Date<br>Assessed |    | Amount<br>ssessed |  |
|  |                                    | EPA       |                   |                  |    |                   |  |
| MCAS Cherry Point                          | NC                                 | RCRA-I    | IV                | 09/17/01         | \$ | 20,893            |  |
| EPA Subtotal                               |                                    |           |                   |                  | \$ | 20,893            |  |
|  |                                    |           |                   |                  |    |                   |  |
|  |                                    | STATE     |                   |                  |    |                   |  |
| None                                       |                                    |           |                   |                  | \$ | -                 |  |
| State Subtotal                             |                                    |           |                   |                  | \$ | -                 |  |
|  |                                    |           |                   |                  |    |                   |  |
|  |                                    | LOCAL     |                   |                  |    |                   |  |
| None                                       |                                    |           |                   |                  | \$ | -                 |  |
| Local Subtotal                             |                                    |           |                   |                  | \$ | -                 |  |
|  |                                    |           |                   |                  |    |                   |  |
| Marine Corps Total                         |                                    |           |                   |                  | \$ | 20,893            |  |

| Air Force                                     | Fines Assessed in Fiscal Year 2001 |           |                              |          |                    |         |  |  |
|---|------------------------------------|-----------|------------------------------|----------|--------------------|---------|--|--|
| Installations by Federal,<br>State, and Local | State                              | Authority | Issuing Date Agency Assessed |          | Amount<br>Assessed |         |  |  |
|   |                                    | EPA       |                              |          |                    |         |  |  |
| Eielson AFB*                                  | AK                                 | CAA       | Х                            | 09/14/00 | \$                 | 498,840 |  |  |
| Hickman AFB                                   | HI                                 | RCRA-I    | IX                           | 11/03/00 | \$                 | 1,250   |  |  |
| Kaena Point                                   | HI                                 | RCRA-I    | IX                           | 05/04/01 | \$                 | 300     |  |  |
| Eglin AFB                                     | FL                                 | RCRA-D    | IV                           | 07/31/01 | \$                 | 111,509 |  |  |
| Arnold AFB                                    | TN                                 | RCRA-C    | IV                           | 09/26/01 | \$                 | 22,658  |  |  |
| EPA Subtotal                                  |                                    |           |                              |          | \$                 | 135,717 |  |  |
|   |                                    | STATE     |                              |          |                    |         |  |  |
| Dare County Range                             | NC                                 | CWA       | North Carolina               | 10/30/00 | \$                 | 1,000   |  |  |
| Portland                                      | OR                                 | RCRA-C    | Oregon                       | 02/28/01 | \$                 | 1,000   |  |  |
| Lackland AFB                                  | TX                                 | CAA       | Texas                        | 04/11/01 | \$                 | 2,820   |  |  |
| Dover AFB                                     | DE                                 | RCRA-C    | Delaware                     | 04/11/01 | \$                 | 50,000  |  |  |
| Bellows AFB                                   | HI                                 | RCRA-I    | Hawaii                       | 05/04/01 | \$                 | 600     |  |  |
| Canon AFB                                     | NM                                 | CAA       | New Mexico                   | 06/14/01 | \$                 | 2,025   |  |  |
| Avon Park                                     | FL                                 | RCRA-C    | Florida                      | 08/02/01 | \$                 | 4,349   |  |  |
| State Subtotal                                |                                    |           |                              |          | \$                 | 61,794  |  |  |
|   |                                    | LOCAL     |                              |          |                    |         |  |  |
| Edwards AFB                                   | CA                                 | CAA       |                              | 01/19/00 | \$                 | 600     |  |  |
| Moffet Field                                  | CA                                 | CAA       |                              | 02/28/01 | \$                 | 250     |  |  |
| Portland                                      | OR                                 | CWA       |                              | 05/18/01 | \$                 | 100     |  |  |
| Portland                                      | ME                                 | CWA       |                              | 08/28/01 | \$                 | 100     |  |  |
| Local Subtotal                                |                                    |           |                              |          | \$                 | 1,050   |  |  |
| Air Force Total                               |                                    |           |                              |          | \$                 | 198,561 |  |  |

<sup>\*</sup> This fine was assessed in FY 2000 but was not reported. Therefore, the fine amount is not included in FY 2001 totals.

| Defense Logistics Agency                                 | Fines Assessed in Fiscal Year 2001 |           |                   |                  |    |                 |  |  |  |
|--|------------------------------------|-----------|-------------------|------------------|----|-----------------|--|--|--|
| Installations by Federal,<br>State, and Local            | State                              | Authority | Issuing<br>Agency | Date<br>Assessed |    | mount<br>sessed |  |  |  |
|  | E                                  | PA        |                   |                  |    |                 |  |  |  |
| None   |                                    |           |                   |                  | \$ | -               |  |  |  |
| EPA Subtotal   |                                    |           |                   |                  | \$ | -               |  |  |  |
|  |                                    |           |                   |                  |    |                 |  |  |  |
|  |                                    | ATE       |                   |                  |    |                 |  |  |  |
| Defense Supply Center Richmond                           | VA                                 | RCRA-C    | Virginia          | 04/16/01         | \$ | 16,000          |  |  |  |
| Defense Reutilization and Marketing Office Mechanicsburg | PA                                 | RCRA-C    | Pennsylvania      | 04/30/01         | \$ | 3,000           |  |  |  |
| Defense Depot Susquehanna                                | PA                                 | RCRA-D    | Pennsylvania      | 09/27/01         | \$ | 387             |  |  |  |
| State Subtotal   |                                    |           |                   |                  | \$ | 19,387          |  |  |  |
|  |                                    |           |                   |                  |    |                 |  |  |  |
|  | LO                                 | CAL       |                   |                  |    |                 |  |  |  |
| None   |                                    |           |                   |                  | \$ |                 |  |  |  |
| Local Subtotal   |                                    |           |                   |                  | \$ | -               |  |  |  |
|  |                                    | _         |                   |                  |    |                 |  |  |  |
| DLA Total  |                                    |           |                   |                  | \$ | 19,387          |  |  |  |

| DoD Component | Fines Paid in Fiscal Year 2001 |      |    |             |    |           |    |           |  |
|---------------|--------------------------------|------|----|-------------|----|-----------|----|-----------|--|
|               | Amount Access                  | - al |    | Amount Paid |    |           |    |           |  |
|               | Amount Assess                  | ea   |    | Cash        |    | SEPs      |    | Total     |  |
|               |                                |      |    |             |    |           |    |           |  |
| Δ 1900        | L                              | 000  | Φ. | EPA 000 470 | Φ  | 4.050.500 | Φ  | 4 004 050 |  |
| Army          | \$ 1,380,                      |      | \$ | 630,470     | \$ | 1,250,586 | \$ | 1,881,056 |  |
| Navy          |                                | 000  | \$ | 1,000       | \$ | -         | \$ | 1,000     |  |
| Marine Corps  |                                | 600  | \$ | 1,650       | \$ | 450,000   | \$ | 1,650     |  |
| Air Force     | \$ 668,                        | 127  | \$ | 191,690     | \$ | 150,000   | \$ | 341,690   |  |
| DLA           | \$                             |      | \$ | -           | \$ | -         | \$ | -         |  |
| EPA Total     | \$ 2,088,                      | 747  | \$ | 824,810     | \$ | 1,400,586 | \$ | 2,225,396 |  |
|               |                                |      |    | OTATE       |    |           |    |           |  |
| Awar          | T # 500                        | 444  | Φ. | STATE       | Φ. | 440,000   | Φ. | 454.000   |  |
| Army          |                                | 141  | \$ |             | \$ | 140,000   | \$ | 454,333   |  |
| Navy          |                                | 100  | \$ | 49,100      | \$ | -         | \$ | 49,100    |  |
| Marine Corps  |                                | 250  | \$ | 16,250      | \$ | -         | \$ | 16,250    |  |
| Air Force     | \$                             | -    | \$ | -           | \$ | -         | \$ | - 40.000  |  |
| DLA           |                                | 000  | \$ | 16,000      | \$ | -         | \$ | 16,000    |  |
| State Total   | \$ 609,                        | 491  | \$ | 395,683     | \$ | 140,000   | \$ | 535,683   |  |
|               |                                |      |    | 1.0041      |    |           |    |           |  |
|               |                                |      | •  | LOCAL       |    |           |    |           |  |
| Army          | \$                             | -    | \$ | -           | \$ | -         | \$ | -         |  |
| Navy          | \$                             | -    | \$ | -           | \$ | -         | \$ | -         |  |
| Marine Corps  | \$                             | -    | \$ | -           | \$ | -         | \$ | -         |  |
| Air Force     |                                | 200  | \$ | 200         | \$ | -         | \$ | 200       |  |
| DLA           | \$                             | -    | \$ | -           | \$ | -         | \$ | -         |  |
| Local Total   | \$                             | 200  | \$ | 200         | \$ | -         | \$ | 200       |  |

| DoD Component |                 | Fines Paid in F | iscal Year 2001 |       |  |  |  |  |  |  |  |
|---------------|-----------------|-----------------|-----------------|-------|--|--|--|--|--|--|--|
|               | Amount Accessed |                 | Amount Paid     |       |  |  |  |  |  |  |  |
|               | Amount Assessed | Cash            | SEPs            | Total |  |  |  |  |  |  |  |

#### **DoD COMPONENT TOTALS**

| Army         | \$<br>1,902,161 | \$<br>944,803   | \$<br>1,390,586 | \$<br>2,335,389 |
|--------------|-----------------|-----------------|-----------------|-----------------|
| Navy         | \$<br>56,100    | \$<br>50,100    | \$<br>-         | \$<br>50,100    |
| Marine Corps | \$<br>55,850    | \$<br>17,900    | \$<br>-         | \$<br>17,900    |
| Air Force    | \$<br>668,327   | \$<br>191,890   | \$<br>150,000   | \$<br>341,890   |
| DLA          | \$<br>16,000    | \$<br>16,000    | \$<br>-         | \$<br>16,000    |
| Grand Total  | \$<br>2,698,438 | \$<br>1,220,693 | \$<br>1,540,586 | \$<br>2,761,279 |

| Army                             |       |            |         | F        | ine | es Paid in Fi | scal Year | 200 | )1      |         |             |    |           |
|----------------------------------|-------|------------|---------|----------|-----|---------------|-----------|-----|---------|---------|-------------|----|-----------|
| Installation by Federal,         | Ctata | A41a a wi4 | Issuing | Date     |     | Amount        | Date      |     |         | -       | Amount Paid |    |           |
| State, and Local                 | State | Authority  | Agency  | Assessed |     | Assessed      | Resolved  |     | Cash    | SEPs To |             |    | Total     |
|                                  |       |            | •       |          | •   |               |           |     |         |         |             |    |           |
|                                  |       |            |         | EF       | _   |               |           |     |         |         |             |    |           |
| Walter Reed Army Medical Center  | DC    | RCRA-I     | III     | 06/30/98 | \$  | 109,851       | 10/20/00  | \$  | 86,715  | \$      |             | \$ | 86,715    |
| Fort Drum                        | NY    | RCRA-I     | II      | 07/01/99 | \$  | 259,960       | 11/16/00  | \$  | 135,000 | \$      | -           | \$ | 135,000   |
| Pine Bluff Arsenal               | AR    | CAA        | VI      | 08/28/00 | \$  | 48,000        | 02/06/01  | \$  | 21,000  | \$      | -           | \$ | 21,000    |
| Fort Campbell                    | KY    | RCRA-C     | IV      | 08/14/00 | \$  | 37,125        | 03/06/01  | \$  | 10,334  | \$      | _           | \$ | 10,334    |
| Letterkenny Army Depot           | PA    | RCRA-C     | III     | 10/01/00 | \$  | 162,030       | 03/30/01  | \$  | 4,921   | \$      | 94,586      | \$ | 99,507    |
| Fort Lewis                       | WA    | RCRA-I     | Χ       | 09/18/00 | \$  | 450,554       | 05/16/01  | \$  | 60,000  | \$      | 200,000     | \$ | 260,000   |
| Fort Bragg                       | NC    | SDWA       | IV      | 03/14/00 | \$  | 312,500       | 06/05/01  | \$  | 312,500 | \$      | 956,000     | \$ | 1,268,500 |
| EPA Subtotal                     |       |            |         |          | \$  | 1,380,020     |           | \$  | 630,470 | \$      | 1,250,586   | \$ | 1,881,056 |
|                                  |       |            |         |          |     |               |           |     |         |         |             |    |           |
|                                  |       |            |         | STA      | _   |               |           |     |         |         |             |    |           |
| Fort Benning                     | GA    | SDWA       | GA      | 06/15/00 | \$  | 9,000         | 10/01/00  | \$  | 8,000   | \$      | -           | \$ | 8,000     |
| Tooele Chemical Agent Disposal   | UT    | RCRA-C     | UT      | 12/30/99 | \$  | 68,445        | 10/30/00  | \$  | 10,075  | \$      | -           | \$ | 10,075    |
| Facility*                        |       |            |         |          |     |               |           | \$  | 56,898  | \$      | -           | \$ | 56,898    |
| Fort Sam Houston                 | TX    | RCRA-C     | TX      | 11/30/99 | \$  | 7,975         | 10/30/00  | \$  | 7,975   | \$      |             | \$ | 7,975     |
| Fort Bragg                       | NC    | RCRA-C     | NC      | 12/01/99 | \$  | 107,500       | 11/08/00  | \$  | 57,497  | \$      |             | \$ | 57,497    |
| Military Ocean Terminal, Bayonne | NJ    | CAA        | NJ      | 03/05/99 | \$  | 56,000        | 11/09/00  | \$  | -       | \$      | -           | \$ | -         |
| Fort Missoula                    | MT    | SDWA       | MT      | 10/01/00 | \$  | 2,324         | 11/22/00  | \$  | 1,900   | \$      | -           | \$ | 1,900     |
| Aberdeen Proving Ground          | MD    | RCRA-C     | MD      | 05/30/00 | \$  | 1,000         | 11/27/00  | \$  | 1,000   | \$      |             | \$ | 1,000     |
| Pine Bluff Arsenal               | AR    | RCRA-C     | AR      | 11/06/00 | \$  | 9,000         | 11/29/02  | \$  | 4,500   | \$      | -           | \$ | 4,500     |
| Fort Carson                      | CO    | RCRA-C     | CO      | 08/09/00 | \$  | 59,363        | 12/14/00  | \$  | 17,000  | \$      | 40,000      | \$ | 57,000    |
| Indiana Army Ammunition Plant    | IN    | RCRA-C     | IN      | 08/18/00 | \$  | 22,500        | 01/16/01  | \$  | 22,500  | \$      | -           | \$ | 22,500    |
| Lake City Army Ammunition Plant  | MO    | RCRA-C     | MO      | 11/24/99 | \$  | 29,400        | 02/04/01  | \$  | 14,700  | \$      |             | \$ | 14,700    |
| Umatilla Chemical Disposal       | OR    | RCRA-C     | OR      | 01/24/01 | \$  | 3,000         | 02/07/01  | \$  | 3,000   | \$      |             | \$ | 3,000     |
| Facility                         | UK    | RURA-U     | UK      | 01/24/01 | Φ   | 3,000         | 02/07/01  | Φ   | 3,000   | Φ       |             | Ф  | 3,000     |
| Tooele Army Depot                | UT    | RCRA-C     | UT      | 04/13/00 | \$  | 145,134       | 05/30/01  | \$  | 63,741  | \$      | 100,000     | \$ | 163,741   |

| Army                                      |       |           |         | F        | ines | s Paid in Fi | scal Year | 200 | )1      |    |             |    |         |  |
|---|-------|-----------|---------|----------|------|--------------|-----------|-----|---------|----|-------------|----|---------|--|
| Installation by Federal,                  | State | Authority | Issuing | Date     |      | Amount       | Date      |     |         | P  | Amount Paid | d  |         |  |
| State, and Local                          | State | Authority | Agency  | Assessed | 1    | Assessed     | Resolved  |     | Cash    |    | SEPs        |    | Total   |  |
|   |       |           |         |          |      |              |           |     |         |    |             |    |         |  |
| Tooele Chemical Agent Disposal Facility** | UT    | CAA       | TU      | 05/17/01 | \$   | 1,500        | 07/13/01  | \$  | 1,500   | \$ | -           | \$ | 1,500   |  |
| Fort Leonard Wood***                      | MO    | CAA       | МО      | 08/10/00 | \$   | _            | 08/13/01  | \$  | 44,047  | \$ | -           | \$ | 44,047  |  |
| State Subtotal                            |       |           |         |          | \$   | 522,141      |           | \$  | 314,333 | \$ | 140,000     | \$ | 454,333 |  |

|                | LOCAL |  |  |  |    |           |  |    |         |      |           |         |       |  |
|----------------|-------|--|--|--|----|-----------|--|----|---------|------|-----------|---------|-------|--|
| None           |       |  |  |  | \$ | -         |  | \$ | -       | \$   | -         | \$      | -     |  |
| Local Subtotal |       |  |  |  | \$ | -         |  | \$ | -       | \$   | •         | \$      | -     |  |
|                |       |  |  |  |    |           |  |    |         |      |           |         |       |  |
| Army Total     |       |  |  |  | \$ | 1,902,161 |  | \$ | 944,803 | \$ 1 | 1,390,586 | \$ 2,33 | 5,389 |  |

<sup>\* \$56,898</sup> paid by contractor.

\*\* \$1,500 paid by contractor.

\*\*\* Amount paid in cash was administrative fee.

| Navy   | Fines Paid in Fiscal Year 2001 |                  |                   |                  |    |                   |                  |    |        |    |                   |       |        |
|--|--------------------------------|------------------|-------------------|------------------|----|-------------------|------------------|----|--------|----|-------------------|-------|--------|
| Installation by Federal,<br>State, and Local | State                          | Authority        | Issuing<br>Agency | Date<br>Assessed |    | Amount<br>ssessed | Date<br>Resolved |    | Cash   |    | ount Paic<br>SEPs | l<br> | Total  |
|  | •                              |                  |                   | EPA              |    |                   |                  |    |        |    |                   |       |        |
| COMNAVBASE Pearl Harbor                      | Н                              | RCRA-I           | IX                | 11/02/00         | \$ | 100               | 12/22/00         | \$ | 100    | \$ | -                 | \$    | 100    |
| COMNAVBASE Pearl Harbor                      | HI                             | RCRA-I           | IX                | 11/08/00         | \$ | 900               | 12/22/00         | \$ | 900    | \$ | -                 | \$    | 900    |
| EPA Subtotal                                 |                                |                  |                   |                  | \$ | 1,000             |                  | \$ | 1,000  | \$ | -                 | \$    | 1,000  |
|  |                                |                  |                   | 07475            |    |                   |                  |    |        |    |                   |       |        |
|  |                                |                  |                   | STATE            |    |                   |                  |    |        |    |                   |       |        |
| NAVHOSP Portsmouth                           | VA                             | Medical<br>Waste | VA                | 1/30/2001        | \$ | 15,000            | 11/30/2001       | \$ | 15,000 | \$ | -                 | \$    | 15,000 |
| PACMISRANFAC Barking Sands                   | HI                             | RCRA-C           | HI                | 11/2/2000        | \$ | 40,100            | 6/18/2001        | \$ | 34,100 | \$ | -                 | \$    | 34,100 |
| State Subtotal                               |                                |                  |                   |                  | \$ | 55,100            |                  | \$ | 49,100 | \$ | -                 | \$    | 49,100 |
|  |                                |                  |                   |                  |    |                   |                  |    |        |    |                   |       |        |
|  |                                |                  |                   | LOCAL            |    |                   |                  |    |        |    |                   |       |        |
| None   |                                |                  |                   |                  | \$ | -                 |                  | \$ | -      | \$ | -                 | \$    | -      |
| Local Subtotal                               |                                |                  |                   |                  | \$ | -                 |                  | \$ | -      | \$ | -                 | \$    | -      |
|  |                                |                  |                   |                  |    |                   |                  |    |        |    |                   |       |        |
| Navy Total                                   |                                |                  |                   |                  | \$ | 56,100            |                  | \$ | 50,100 | \$ | -                 | \$    | 50,100 |

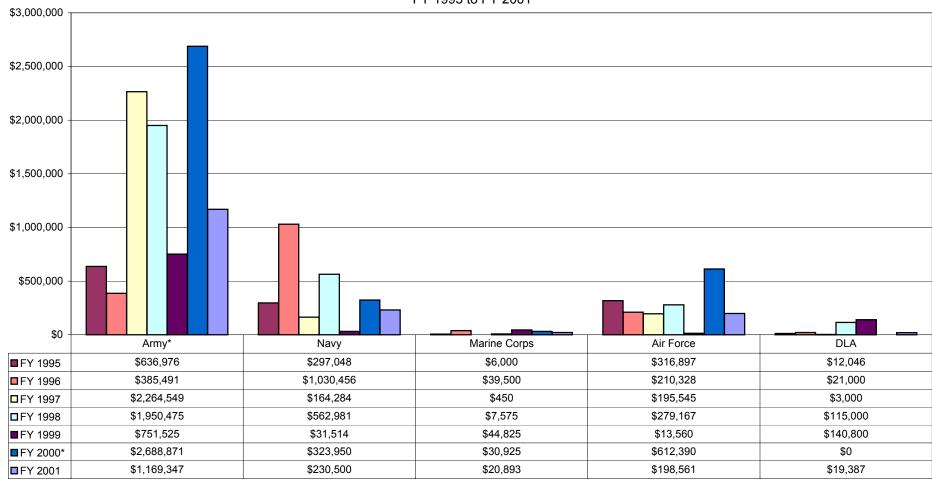
| Marine Corps             | Fines Paid in Fiscal Year 2001 |           |         |           |             |         |           |      |        |           |   |    |        |
|--------------------------|--------------------------------|-----------|---------|-----------|-------------|---------|-----------|------|--------|-----------|---|----|--------|
| Installation by Federal, | State Authority                |           | Issuing | Date      | Amount Date |         |           |      | Am     | ount Paid | t |    |        |
| State, and Local         | State                          | Authority | Agency  | Assessed  | As          | ssessed | Resolved  | Cash |        | SEPs      |   |    | Total  |
|                          |                                |           |         |           |             |         |           |      |        |           |   |    |        |
|                          |                                |           |         | EPA       |             |         |           |      |        |           |   |    |        |
| MCB Quanitco             | VA                             | CAA       | III     | 9/30/1999 | \$          | 39,600  | 3/1/2001  | \$   | 1,650  | \$        | - | \$ | 1,650  |
| EPA Subtotal             |                                |           |         |           | \$          | 39,600  |           | \$   | 1,650  | \$        | - | \$ | 1,650  |
|                          |                                |           |         |           |             |         |           |      |        |           |   |    |        |
|                          |                                |           |         | STATE     |             |         |           |      |        |           |   |    |        |
| MCAS Beaufort            | SC                             | RCRA-C    | SC      | 9/15/2000 | \$          | 16,250  | 11/3/2000 | \$   | 16,250 | \$        | - | \$ | 16,250 |
| State Subtotal           |                                |           |         |           | \$          | 16,250  |           | \$   | 16,250 | \$        | - | \$ | 16,250 |
|                          |                                |           |         |           |             |         |           |      |        |           |   |    |        |
|                          |                                |           |         | LOCAL     |             |         |           |      |        |           |   |    |        |
| None                     |                                |           |         |           | \$          | -       |           | \$   | -      | \$        | - | \$ | -      |
| Local Subtotal           |                                |           |         |           | \$          | -       |           | \$   | -      | \$        | - | \$ | -      |
|                          |                                |           |         |           |             |         |           |      |        |           |   |    |        |
| Marines Total            |                                |           |         |           | \$          | -       |           | \$   | -      | \$        | - | \$ | -      |

| Air Force                |       |           |         | Fin      | Fines Paid in Fiscal Year 2001 |          |          |    |         |    |            |    |         |  |  |  |  |  |
|--------------------------|-------|-----------|---------|----------|--------------------------------|----------|----------|----|---------|----|------------|----|---------|--|--|--|--|--|
| Installation by Federal, | State | Authority | Issuing | Date     |                                | Amount   | Date     |    |         | Ar | nount Paid |    |         |  |  |  |  |  |
| State, and Local         | State | Authority | Agency  | Assessed | /                              | Assessed | Resolved |    | Cash    |    | SEPs       |    | Total   |  |  |  |  |  |
|                          |       |           |         |          |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
|                          |       |           |         | EPA      |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
| Tinker AFB               | OK    | RCRA-I    | VI      | 01/13/98 | \$                             | 96,703   | 11/07/00 | \$ | 51,500  | \$ | -          | \$ | 51,500  |  |  |  |  |  |
| Barksdale AFB            | LA    | RCRA-I    | VI      | 01/13/98 | \$                             | 70,734   | 12/07/00 | \$ | 38,340  | \$ | -          | \$ | 38,340  |  |  |  |  |  |
| Anderson AFB             | GU    | RCRA-I    | IX      | 11/15/99 | \$                             | 300      | 12/19/00 | \$ | 300     | \$ | -          | \$ | 300     |  |  |  |  |  |
| Hickam AFB               | HI    | RCRA-I    | IX      | 11/03/00 | \$                             | 1,250    | 12/22/00 | \$ | 1,250   | \$ | -          | \$ | 1,250   |  |  |  |  |  |
| Eielson AFB              | AK    | CAA       | Χ       | 09/14/00 | \$                             | 498,840  | 04/26/01 | \$ | 100,000 | \$ | 150,000    | \$ | 250,000 |  |  |  |  |  |
| Kaena Point              | HI    | RCRA-I    | IX      | 05/04/01 | \$                             | 300      | 05/09/01 | \$ | 300     | \$ | -          | \$ | 300     |  |  |  |  |  |
| EPA Subtotal             |       |           |         |          | \$                             | 668,127  |          | \$ | 191,690 | \$ | 150,000    | \$ | 341,690 |  |  |  |  |  |
|                          |       |           |         |          |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
|                          |       |           |         | STATE    |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
| None                     |       |           |         |          | \$                             | -        |          | \$ | -       | \$ | -          | \$ | -       |  |  |  |  |  |
| State Subtotal           |       |           |         |          | \$                             | -        |          | \$ | -       | \$ | -          | \$ | -       |  |  |  |  |  |
|                          |       |           |         |          |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
|                          |       |           |         | LOCA     |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
| Portland*                | OR    | CWA       |         | 05/18/01 | \$                             | 100      | 06/18/01 | \$ | 100     | \$ | -          | \$ | 100     |  |  |  |  |  |
| Portland*                | ME    | CWA       |         | 08/28/01 | \$                             | 100      | 08/30/01 | \$ | 100     | \$ | -          | \$ | 100     |  |  |  |  |  |
| Local Subtotal           |       |           |         |          | \$                             | 200      |          | \$ | 200     | \$ | -          | \$ | 200     |  |  |  |  |  |
|                          |       |           |         |          |                                |          |          |    |         |    |            |    |         |  |  |  |  |  |
| Air Force Total          |       |           |         |          | \$                             | 668,327  |          | \$ | 191,890 | \$ | 150,000    | \$ | 341,890 |  |  |  |  |  |

<sup>\*</sup> Paid with state funds.

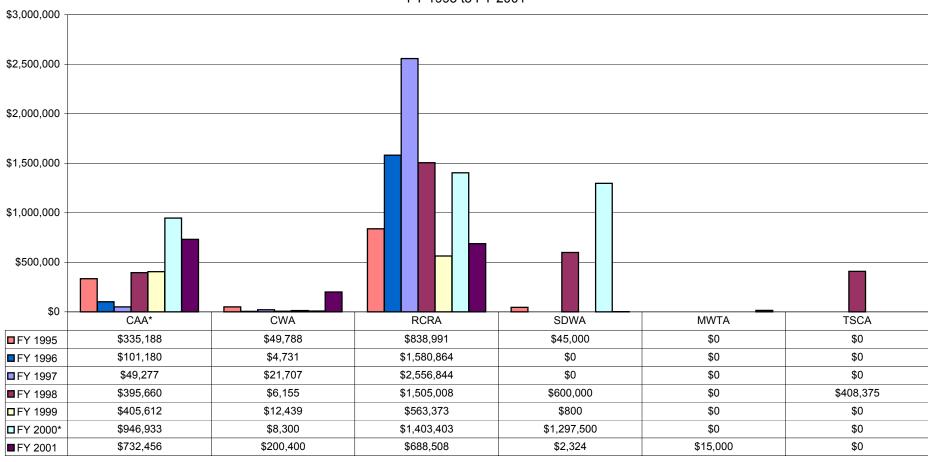
| Defense Logistics Agency       | Fines Paid in Fiscal Year 2001 |             |         |          |           |          |               |         |           |             |        |  |  |
|--------------------------------|--------------------------------|-------------|---------|----------|-----------|----------|---------------|---------|-----------|-------------|--------|--|--|
| Installation by Federal,       | State                          | Authority   | Issuing | Date     | Amount    | Date     |               | Am      | ount Paic | d           |        |  |  |
| State and Local                | Otato                          | Additionity | Agency  | Assessed | Assessed  | Resolved | Cash          |         | SEPs      | 7           | Γotal  |  |  |
|                                |                                |             | E       | PA       |           |          |               |         |           |             |        |  |  |
| None                           |                                |             |         |          | \$ -      |          | \$            | - \$    | -         | \$          | -      |  |  |
| EPA Subtotal                   |                                |             |         |          | \$ -      |          | \$            | - \$    | -         | \$          | -      |  |  |
|                                |                                |             |         |          |           |          |               |         |           |             |        |  |  |
|                                |                                | ,           | ST      | ATE      |           |          |               |         |           |             |        |  |  |
| Defense Supply Center Richmond | VA                             | RCRA-C      | VA      | 04/16/01 | \$ 16,000 | 08/31/01 | \$ 16,00      | 00   \$ | -         | \$          | 16,000 |  |  |
| State Subtotal                 |                                |             |         |          | \$ 16,000 |          | \$ 16,00      | 00 \$   | -         | \$          | 16,000 |  |  |
|                                |                                |             |         | •        |           |          |               |         |           |             |        |  |  |
|                                |                                |             | LO      | CAL      |           |          |               |         |           |             |        |  |  |
| None                           |                                |             |         |          | \$ -      |          | \$            | - \$    | -         | \$          | -      |  |  |
| Local Subtotal                 |                                |             |         |          | \$ -      |          | \$            | - \$    | -         | \$          | -      |  |  |
|                                |                                |             |         |          |           |          | 4 4 4 4 4 4 4 |         |           |             |        |  |  |
| DLA Total                      |                                |             |         |          | \$ 16,000 |          | \$ 16,00      | 0   \$  | -         | <b>\$ 1</b> | 6,000  |  |  |

Figure 1
Fines Assessed
DoD Component Totals
FY 1995 to FY 2001



<sup>\*</sup> Does not include a fine assessed against Fort Wainwright, Alaska under the Clean Air Act in FY 2000.

Figure 2
Fines Assessed by Statute
DoD-Wide
FY 1995 to FY 2001



<sup>\*</sup> Does not include a fine assessed against Fort Wainwright, Alaska under the Clean Air Act in FY 2000.

Figure 3
Total Amount of Fines Assessed by Issuing Agency
DoD-Wide
FY 2001

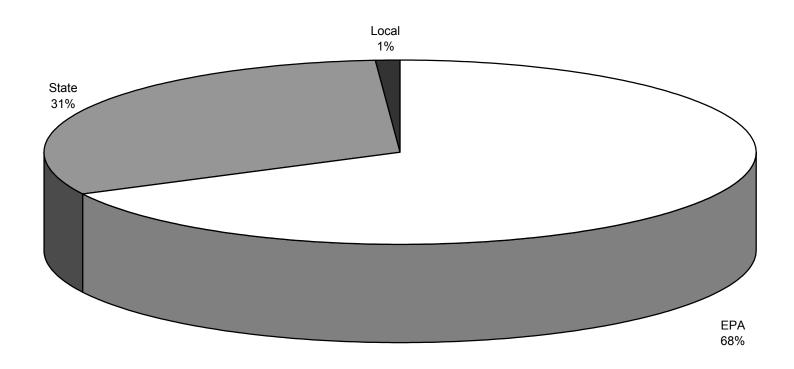
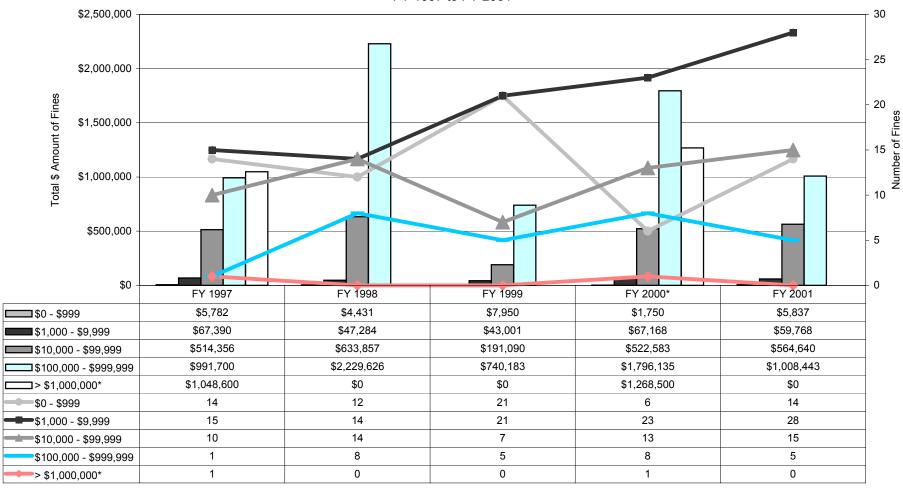
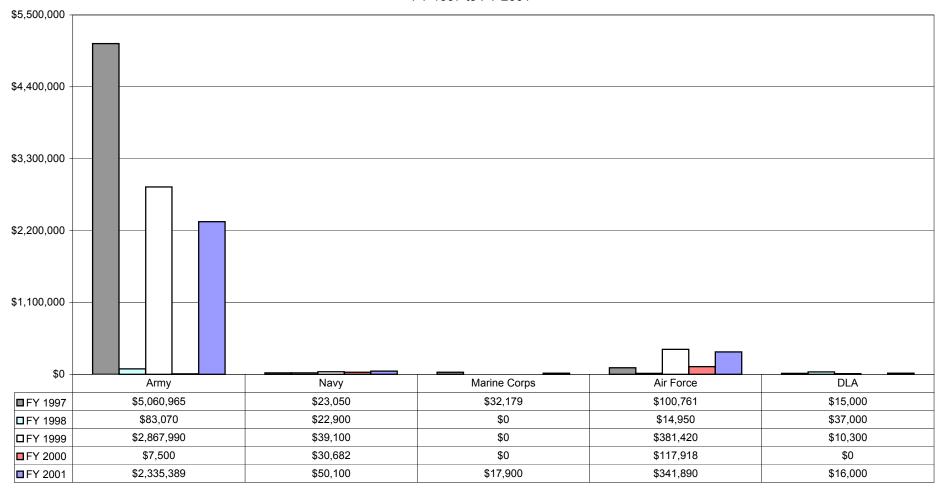


Figure 4
Number of Fines Assessed by Size
DoD-Wide
FY 1997 to FY 2001



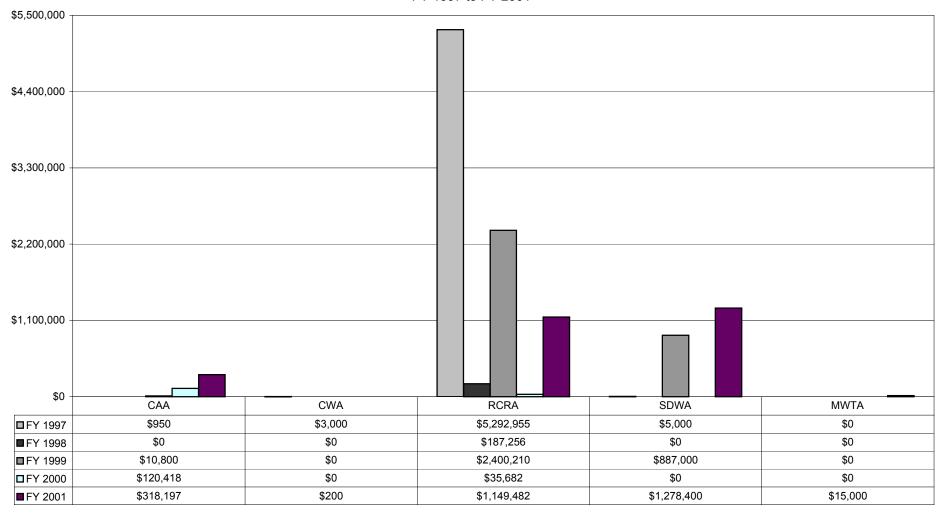
<sup>\*</sup> Does not include a fine assessed against Fort Wainwright, Alaska under the Clean Air Act in FY 2000.

Figure 5
Fines Paid (Cash and SEPs\*\*)
DoD Components
FY 1997 to FY 2001



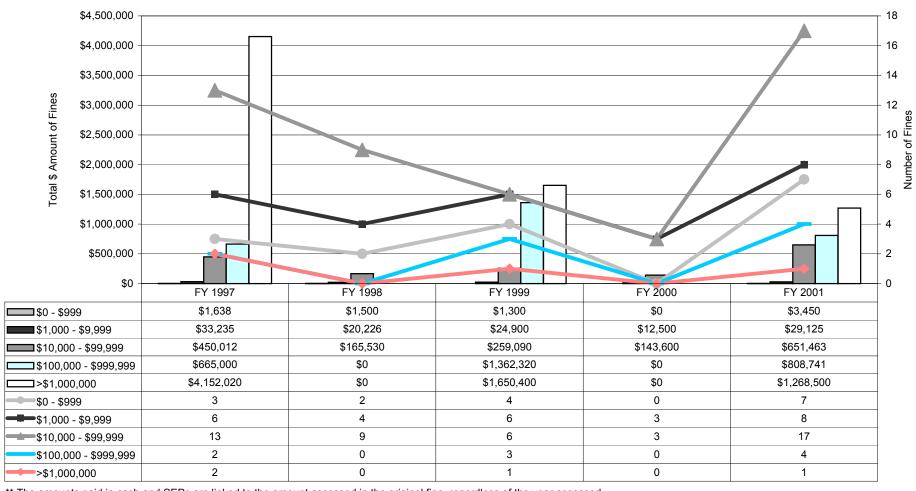
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 6
Fines Paid (Cash and SEPs\*\*) by Statute
DoD-Wide
FY 1997 to FY 2001



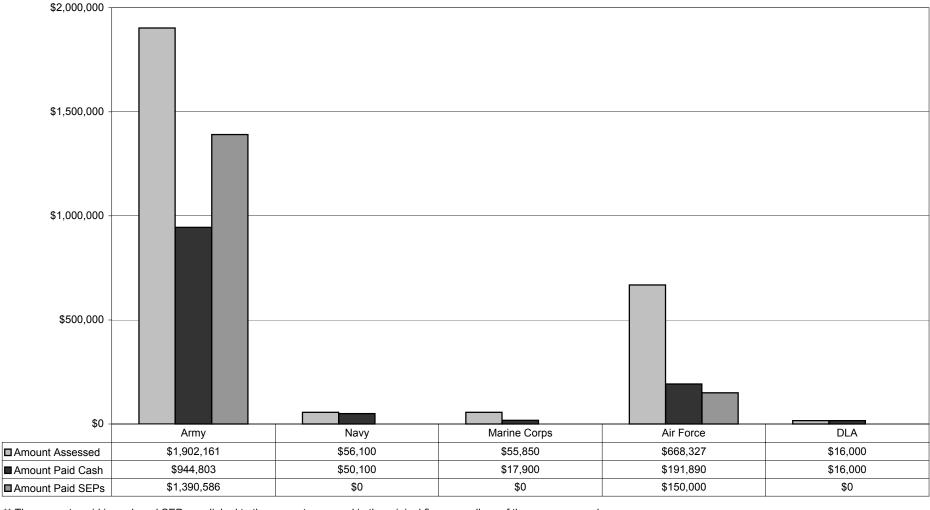
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 7
Number of Fines Paid (Cash and SEPs\*\*) by Size
DoD-Wide
FY 1997 to FY 2001



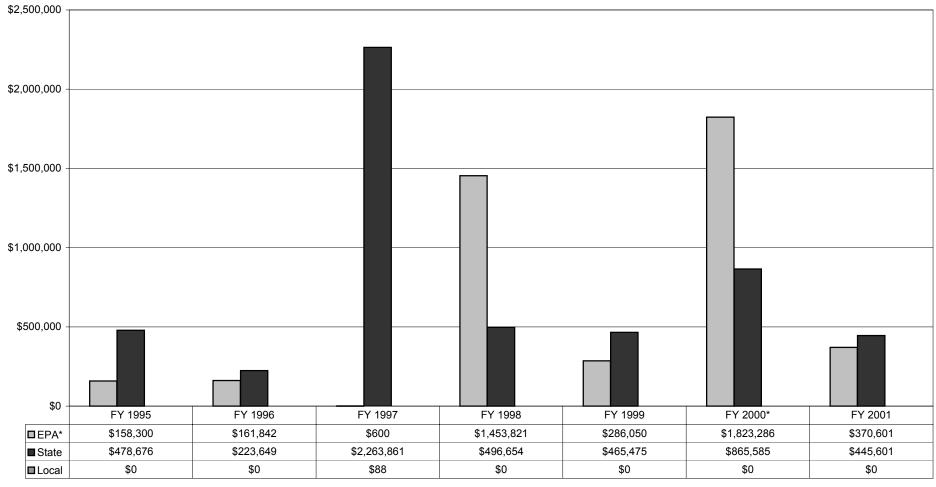
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 8
Fines Paid (Cash and SEPs\*\*)
DoD Component Totals
FY 2001



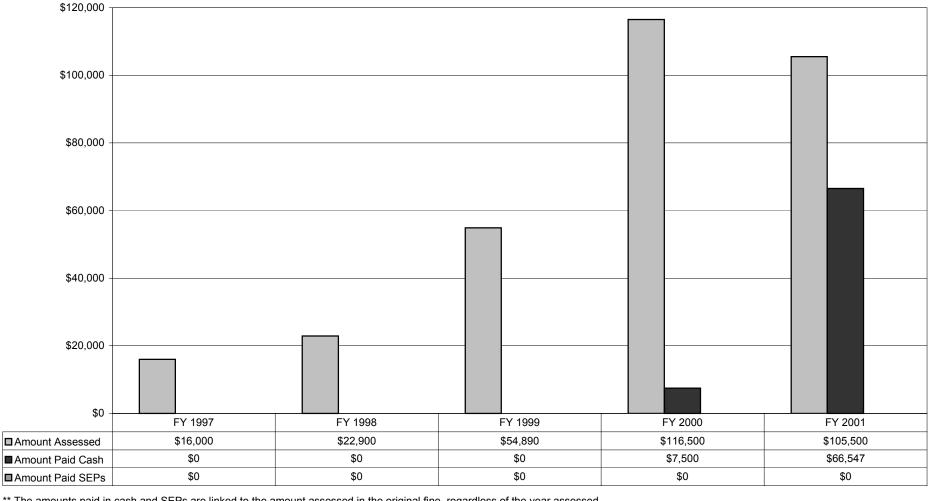
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 9
Fines Assessed by Issuing Agency
Army
FY 1995 to FY 2001



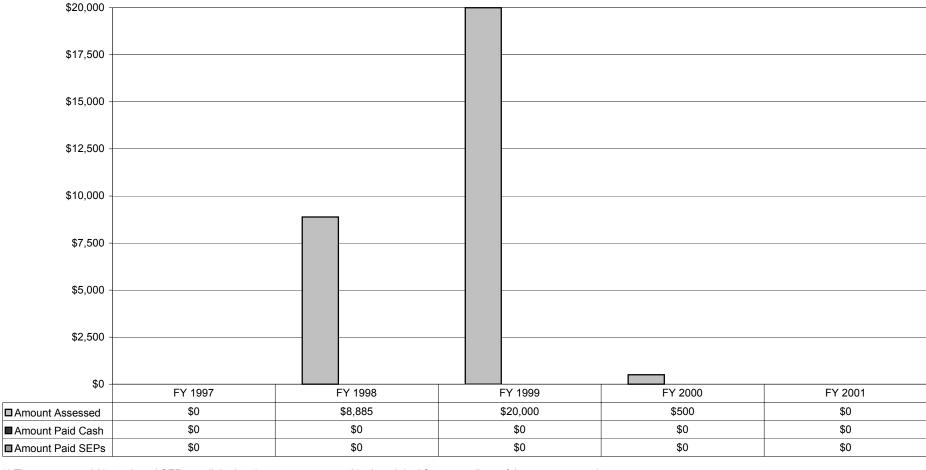
<sup>\*</sup> Does not include a fine assessed against Fort Wainwright, Alaska under the Clean Air Act in FY 2000.

Figure 10 Fines Paid (Cash and SEPs\*\*) Army Clean Air Act FY 1997 to FY 2001



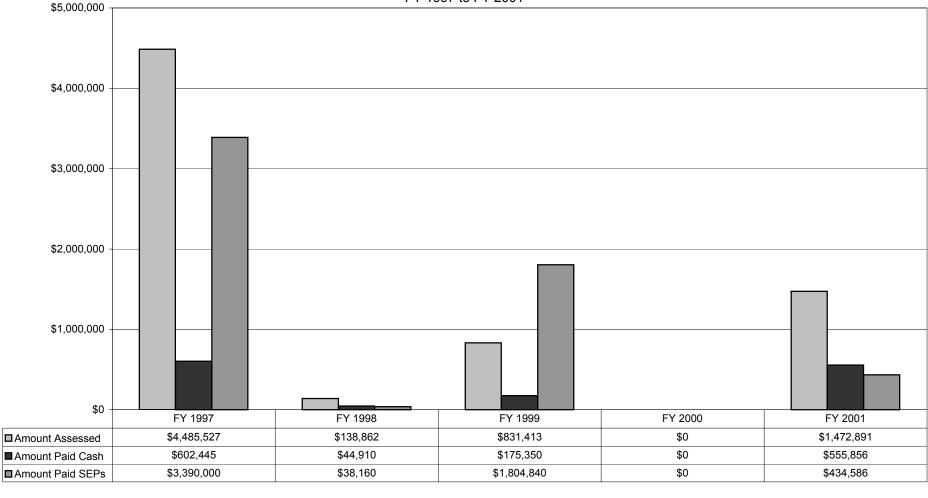
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 11
Fines Paid (Cash and SEPs\*\*)
Army
Clean Water Act
FY 1997 to FY 2001



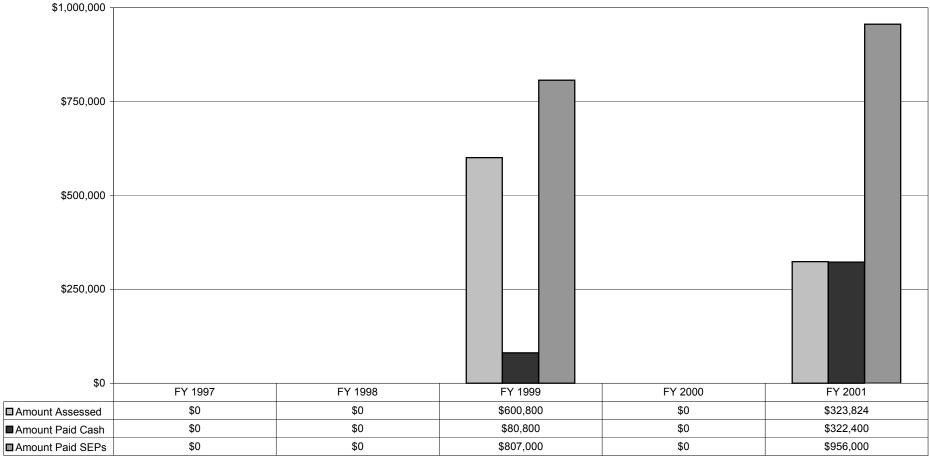
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 12
Fines Paid (Cash and SEPs\*\*)
Army
Resource Conservation and Recovery Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 13
Fines Paid (Cash and SEPs\*\*)
Army
Safe Drinking Water Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 14
Fines Assessed by Issuing Agency
Navy
FY 1995 to FY 2001

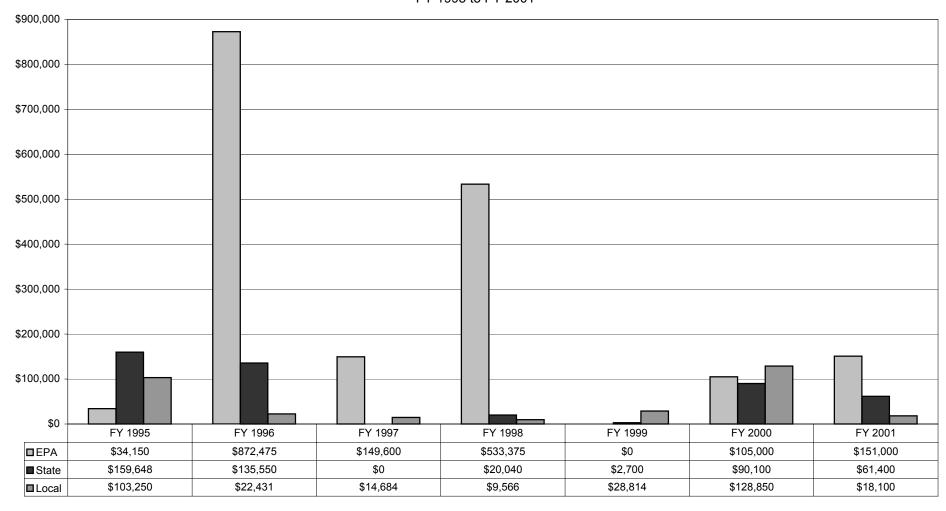
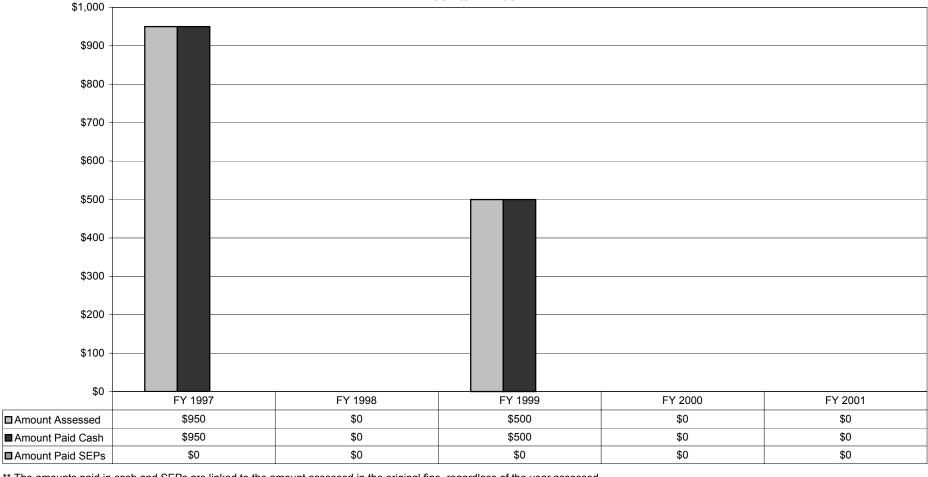
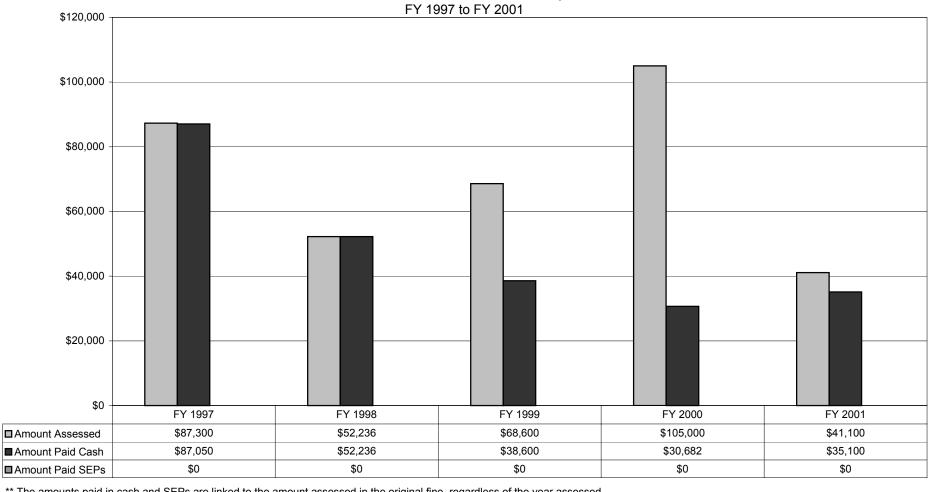


Figure 15
Fines Paid (Cash and SEPs\*\*)
Navy
Clean Air Act
FY 1997 to FY 2001



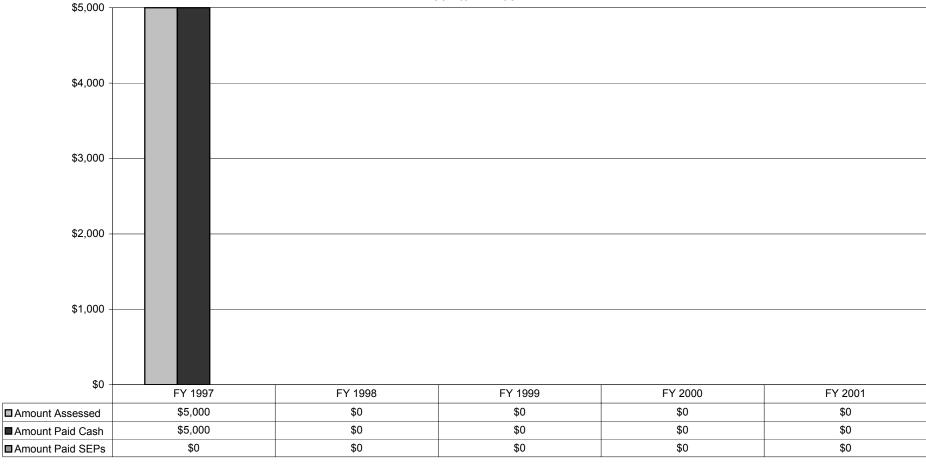
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 16 Fines Paid (Cash and SEPs\*\*) Navy Resource Conservation and Recovery Act



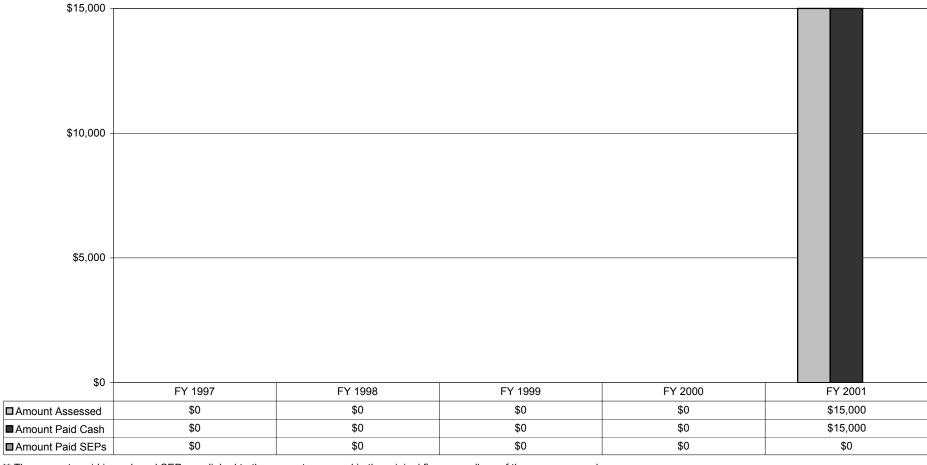
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 17
Fines Paid (Cash and SEPs\*\*)
Navy
Safe Drinking Water Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 18
Fines Paid (Cash and SEPs\*\*)
Navy
Medical Waste Tracking Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 19
Fines Assessed by Issuing Agency
Marine Corps
FY 1995 to FY 2001

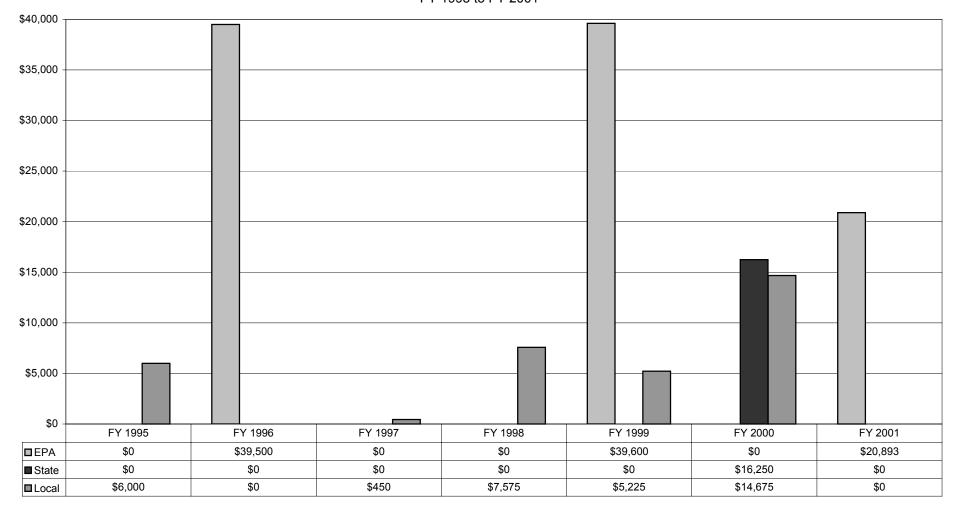
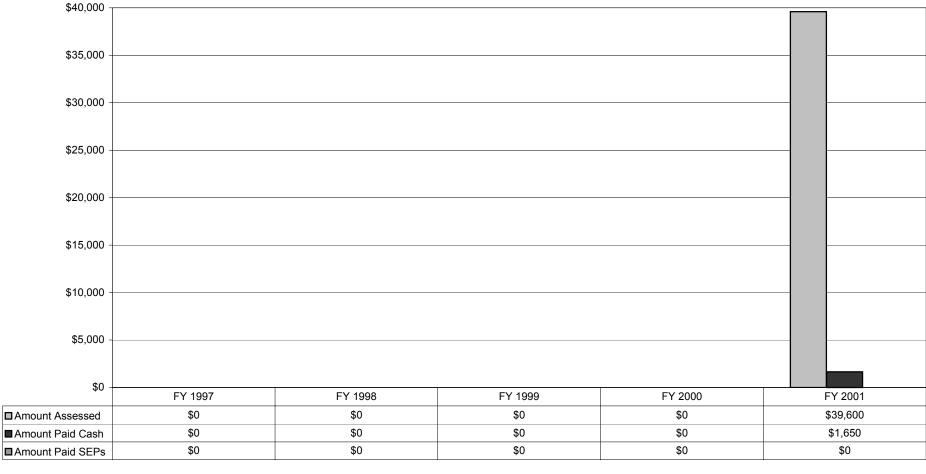
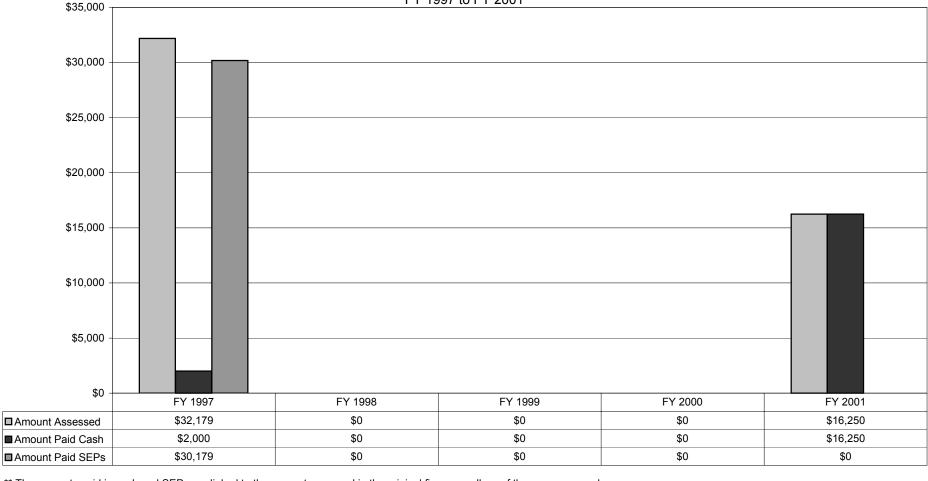


Figure 20
Fines Paid (Cash and SEPs\*\*)
Marine Corps
Clean Air Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 21
Fines Paid (Cash and SEPs\*\*)
Marine Corps
Resource Conservation and Recovery Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 22
Fines Assessed by Issuing Agency
Air Force
FY 1995 to FY 2001

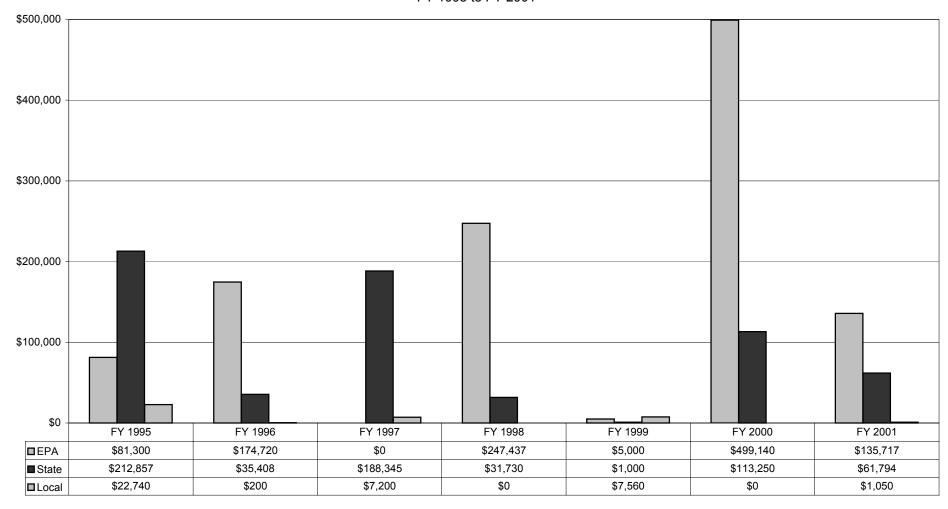
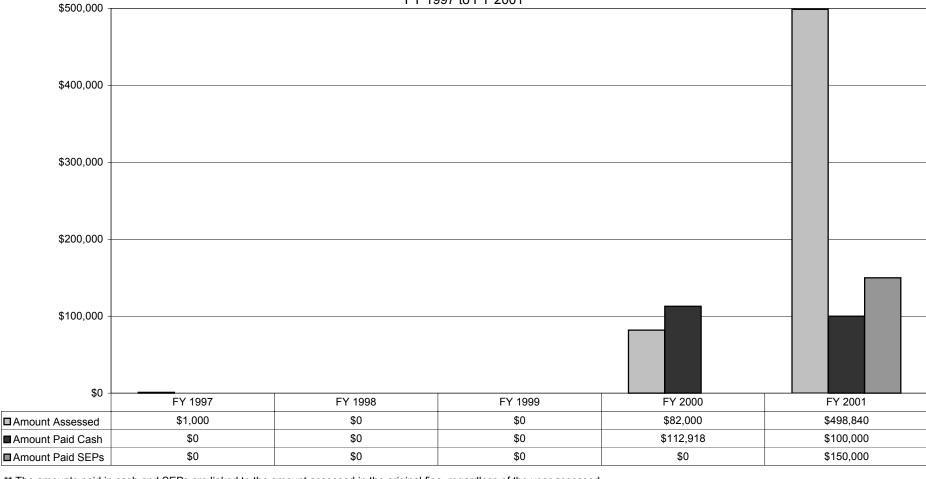
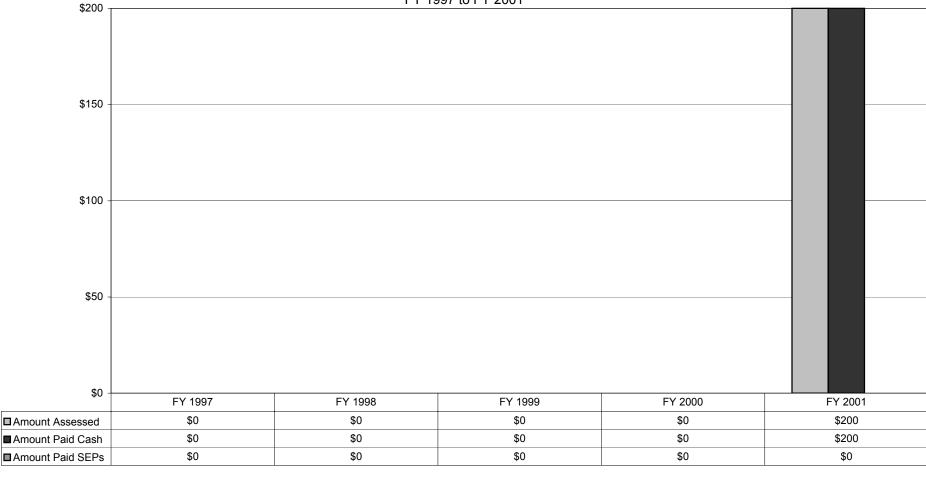


Figure 23
Fines Paid (Cash and SEPs\*\*)
Air Force
Clean Air Act
FY 1997 to FY 2001



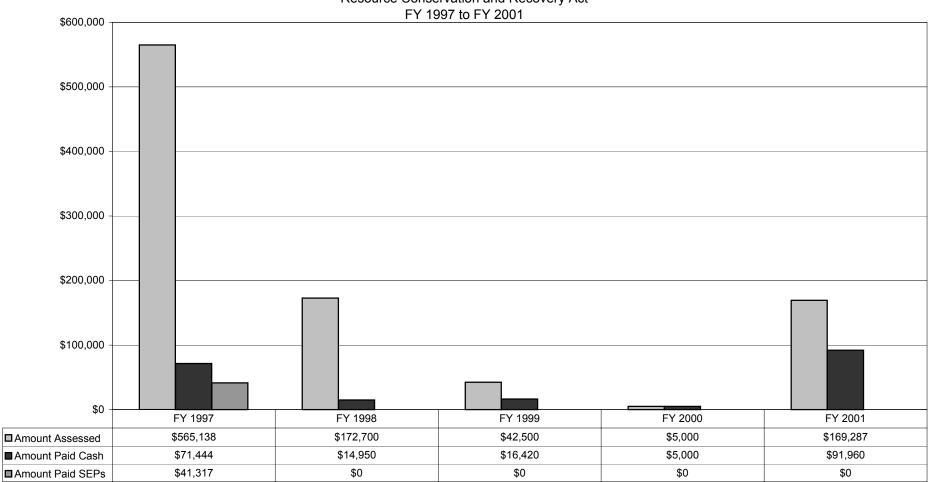
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 24
Fines Paid (Cash and SEPs\*\*)
Air Force
Clean Water Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 25
Fines Paid (Cash and SEPs\*\*)
Air Force
Resource Conservation and Recovery Act



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 26
Fines Assessed by Issuing Agency
Defense Logistics Agency
FY 1995 to FY 2001

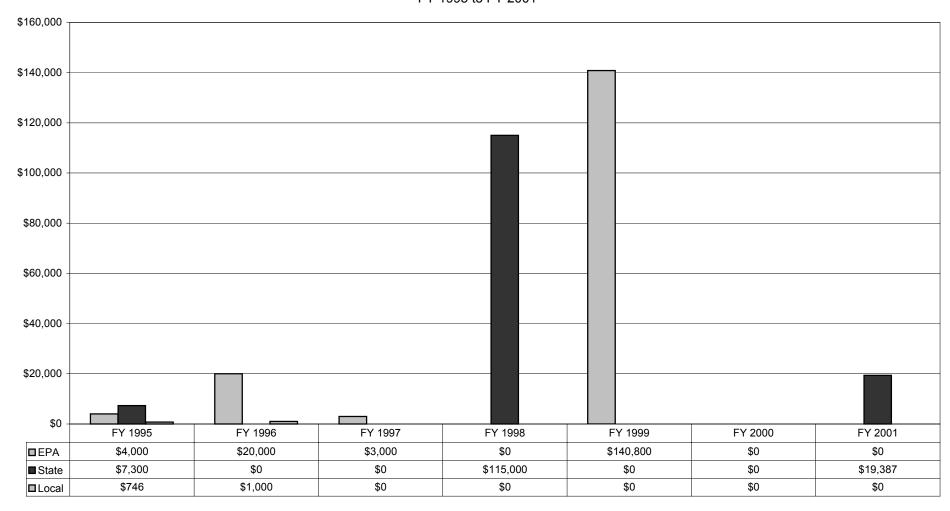
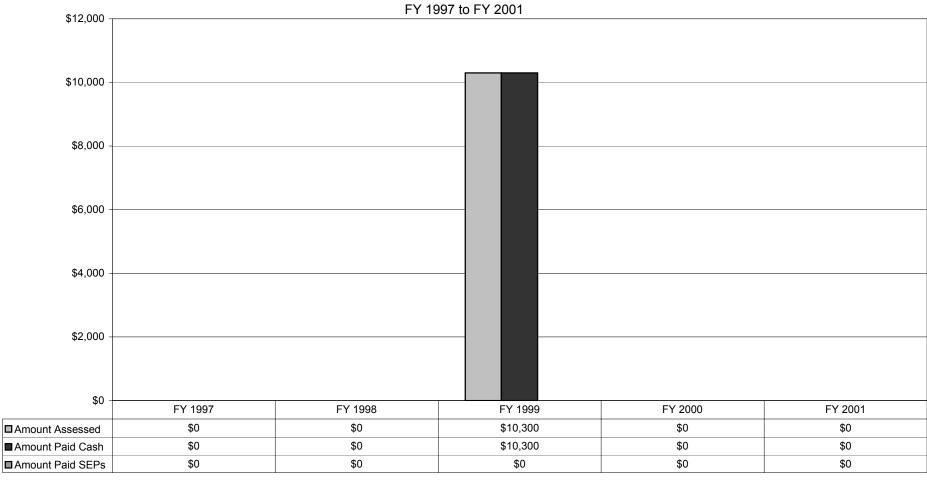
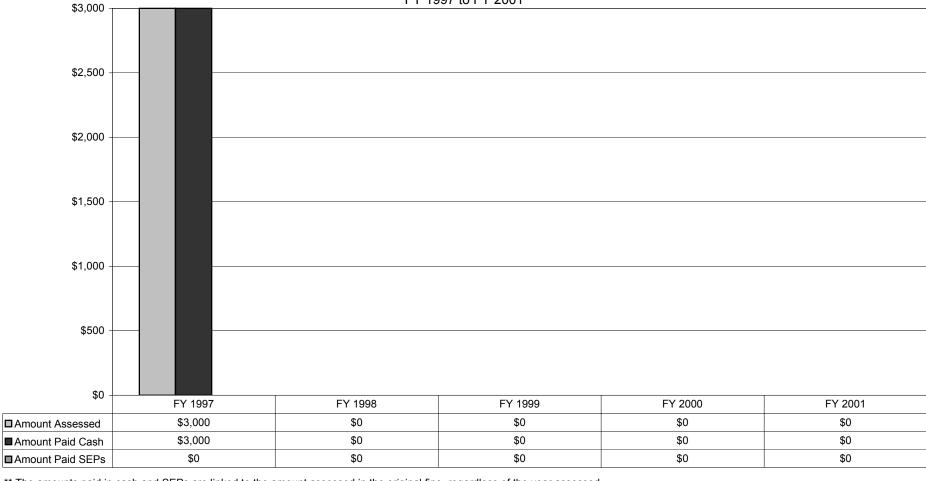


Figure 27
Fines Paid (Cash and SEPs\*\*)
Defense Logistics Agency
Clean Air Act



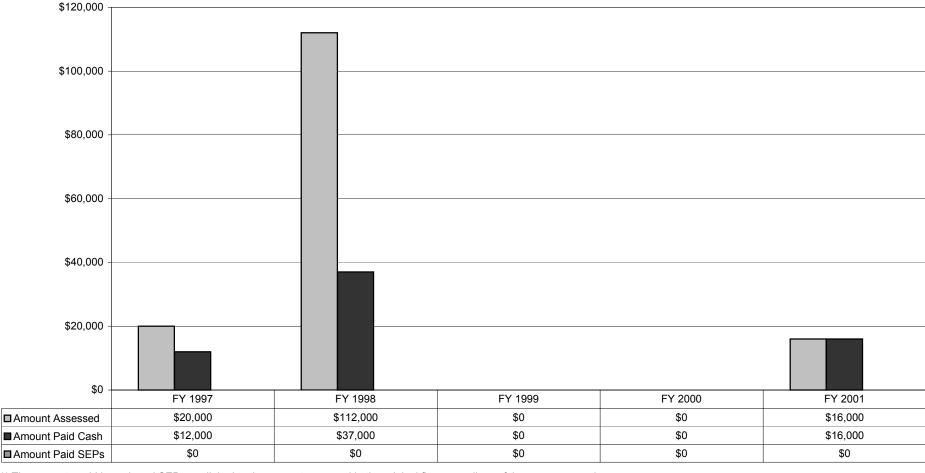
<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 28
Fines Paid (Cash and SEPs\*\*)
Defense Logistics Agency
Clean Water Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.

Figure 29
Fines Paid (Cash and SEPs\*\*)
Defense Logistics Agency
Resource Conservation and Recovery Act
FY 1997 to FY 2001



<sup>\*\*</sup> The amounts paid in cash and SEPs are linked to the amount assessed in the original fine, regardless of the year assessed.