

OFFICE OF THE UNDER SECRETARY OF DEFENSE 3000 DEFENSE PENTAGON WASHINGTON, DC 20301-3000

OCT 0 2 2012

ACQUISITION, TECHNOLOGY AND LOGISTICS

MEMORANDUM FOR ASSISTANT SECRETARY OF THE ARMY (INSTALLATIONS, ENERGY AND ENVIRONMENT) ASSISTANT SECRETARY OF THE NAVY (ENERGY, INSTALLATIONS AND ENVIRONMENT) ASSISTANT SECRETARY OF THE AIR FORCE (INSTALLATIONS, ENVIRONMENT AND LOGISTICS) DIRECTOR, ADMINISTRATION AND MANAGEMENT DIRECTORS OF THE DEFENSE AGENCIES

SUBJECT: 2013 Secretary of Defense Environmental Awards Nominations

I request your nominations for the 2013 Secretary of Defense Environmental Awards. Please submit your nominations in accordance with the attached guidance by March 1, 2013. Each Military Service and Defense Agency may submit one nomination for each of the following award categories.

Installation

Natural Resources Conservation, Large Cultural Resources Management Environmental Quality, Industrial Environmental Quality, Overseas Sustainability, Non-industrial Environmental Restoration

Individual/Team

Cultural Resources Management Sustainability Environmental Excellence in Small Program Weapon System Acquisition

The awards ceremony is planned for July 10, 2013 at 1:00 pm in the Pentagon auditorium. We look forward to recognizing both military and civilian personnel for their outstanding accomplishments to improve the environmental performance of the Department. My point of contact for the awards is Mr. Shah Choudhury, (571) 372-6809, or Shah.Choudhury@osd.mil.

John Conger Acting Deputy Under Secretary of Defense (Installations and Environment)

Attachment: As stated

SECRETARY OF DEFENSE ENVIRONMENTAL AWARDS

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Nominations for the Fiscal Year 2012 Environmental Award

Nominations for the Secretary of Defense Environmental Awards to be presented in 2013 are due to ODUSD(I&E) by March 1, 2013, using this guidance document. Each Military Service and Defense Agency may submit one nomination for each of the nine award categories listed below. Full descriptions for each category are provided on pages 7-8. The nominating Military Department or Defense Agency shall submit clean nomination packages electronically in .pdf format by (a) email to <u>Shah.Choudhury@osd.mil</u> or (b) on a compact disk (CD), accompanied by a Military Department or Defense Agency nomination memorandum to the DUSD(I&E) at

Office of the Deputy Under Secretary of Defense (Installations & Environment) 3400 Defense Pentagon Washington, DC 20301-3400

Installation

- Natural Resources Conservation, Large
- Cultural Resources Management
- Environmental Quality, Industrial
- Environmental Quality, Overseas
- Sustainability, Non-Industrial
- Environmental Restoration

Individual/Team

- Cultural Resources Management
- Sustainability
- Environmental Excellence in Small Program Weapon System Acquisition

About the Secretary of Defense Environmental Awards

Each year since 1962, the Secretary of Defense has honored installations, teams, and individuals for outstanding achievement in DoD environmental programs. As structured since Fiscal Year (FY) 2009, some of the awards are on a two-year cycle with large/small and non-industrial/ industrial installations competing in alternate years as shown in the table below.

| Odd Fiscal Years (i.e., 2009, 2011) | Even Fiscal Years (i.e., 2010, 2012) |
|---------------------------------------|---------------------------------------|
| Installation | Installation |
| Natural Resources Conservation, Small | Natural Resources Conservation, Large |
| Cultural Resources Management | Cultural Resources Management |
| Environmental Quality, Non-industrial | Environmental Quality, Industrial |
| | Environmental Quality, Overseas |
| Sustainability, Industrial | Sustainability, Non-industrial |
| Environmental Restoration | Environmental Restoration |
| | |

| Individual/Team | Individual/Team |
|---|---|
| Natural Resources Conservation | Cultural Resources Management |
| Environmental Quality | Sustainability |
| Environmental Excellence in Weapon System | Environmental Excellence in Weapon System |
| Acquisition, Large Program | Acquisition, Small Program |
| Environmental Restoration | |

Nomination Process

Each Military Department or Defense Agency may submit one nomination for each award category. Nominees for individual awards must be DoD civilian employees (including Intergovernmental Personnel Act [IPA] employees) or members of the U.S. Armed Forces. Nominees for team awards must include one or more DoD civilian employees (including IPAs) or members of the U.S. Armed Forces; other team members may be DoD contractor employees. Specific information on eligibility requirements, judging criteria, contents of the nomination package, and illustrative examples of accomplishments for each award category are noted in the nomination packet instructions (Tabs A-F) later in this document. The nomination packages must be unclassified.

Installations, individuals, and teams that previously won the Secretary of Defense Environmental Award for a given category are not eligible to compete within the same category using the same accomplishments for any subsequent submission; however, different accomplishments within the same category, during the stated achievement period (see Nomination Packet Requirements and Format on page 9) are acceptable.

The nominating Military Department or Defense Agency is responsible for clearing nominations for public release and providing the associated documentation to OSD. ODUSD(I&E) will upload all nomination narratives on the Defense Environmental Network and Information Exchange (DENIX) website for online viewing by the judges and subsequent viewing by the public.

Upon receipt of the nomination packets, a panel of judges from government, non-governmental organizations, academia, and the private sector selected by ODUSD(I&E) will evaluate the nominations on six key criteria, as described in the judging guidance.

- 1. Program Management
- 2. Technical Merit
- 3. Orientation to Mission
- 4. Transferability
- 5. Stakeholder Interaction
- 6. Project Impact

Based on the evaluation by the judges, a winner is selected. The winner will receive a trophy and a Secretary of Defense certificate, and honorable mentions will receive a Secretary of Defense certificate that will be presented at the DoD Environmental Awards ceremony.

Judging Guidance

General: It is not necessary to compare an installation quantitatively for the installation awards; or an individual with a team for the individual/team awards. Rather, all nominees, other than those in the Environmental Excellence in Weapon System Acquisition category (see Tab F2 for separate judging guidance), should be judged qualitatively relative to the following six criteria. Nominees should not be compared against each other, nor should they be evaluated quantitatively. Specific judging criteria applicable to the award category are noted in the nomination packet instructions for that award category later in this document.

Program Management:

- 1. Did the nominee demonstrate improvement during the period under consideration?
- 2. Was there a recognized management system structure in place to effectively manage (i.e., develop and implement) the environmental aspects of the mission? (Note that third party registration of the management system is not a DoD policy requirement.)
- 3. Did the program demonstrate substantive involvement with appropriate internal offices (e.g., funds manager, master planner, real property manager, utilities engineer, logisticians, trainers, and/or testers)?
- 4. Were all required plans prepared and were they up-to-date?
- 5. Did the nominee clearly identify and meet program milestones?
- 6. Did the nominee demonstrate cost savings and mission benefits?

Technical Merit:

- 1. Did the nominee use innovative techniques? How is the innovation significant?
- 2. Was the program effective in protecting, enhancing, and/or restoring the environment?
- 3. Did the program quantify its accomplishments to demonstrate the scale of projects and impacts of successes? Did the program promote protection and/or more efficient and sustainable use of resources?
- 4. Are the program's accomplishments distinct from past successes? How are they significant?

Orientation to Mission:

- 1. Did the program demonstrate substantive involvement of individuals directly responsible for the military readiness or civil works mission, as appropriate, for the accomplishments cited, in the nomination package?
- 2. Did the program contribute to the successful execution or enhancement of the nominee's military readiness/civil works mission?
- 3. Did the program help identify and develop mitigation measures to mission restrictions, as necessary? Were these measures effectively implemented?
- 4. Did the nominee provide science and research contributions that directly support the mission?

Transferability:

- 1. Can others adopt this program elsewhere within and/or outside of DoD?
- 2. Did the nominee demonstrate progress in transferring innovations to others within and outside of DoD?

Stakeholder Interaction:

- 1. Did the program interact with the surrounding community, state and local regulators, and non-governmental organizations (U.S. only)?
- 2. Did the nominee establish volunteer and partnership programs? What were the contributions of these partners (U.S. only)?
- 3. Did the nominee develop public and in-house education and outreach programs (U.S. only)?
- 4. Did the program promote public access (U.S. only)?
- 5. Did the program include substantive opportunities for public involvement and two-way communication (U.S. only)?
- 6. Did the program achieve success in enhancing environmental awareness and community involvement for base personnel and residents of military housing (Overseas only)?

Project Impact:

- 1. Will the technique and/or program endure over time?
- 2. Is there a framework in place to build on/improve the nominee's accomplishments in the future?

Description of Awards for Fiscal Years 2011-2012

Natural Resources Conservation (Tab A):

• Large Installation

This award recognizes efforts to promote the conservation of natural resources, including the identification, protection, and restoration of biological resources and habitats; the sound management and use of the land and its resources; and the promotion of the conservation ethic. Environmentally beneficial landscaping is also a factor in this award. Nominations may be from the Military Departments or Defense Agencies for any large U.S. Military active or closing installation worldwide.

Cultural Resources Management (Tab B):

- Installation
- Individual/Team

These awards recognize efforts to promote cultural resources stewardship in DoD by highlighting outstanding examples of Cultural Resources Management (CRM). Awards are designed to showcase DoD's extensive cultural resources including archaeological sites, the historic built environment, and cultural landscapes. Desired initiatives include partnering with external stakeholders such as Native Americans, State Historic Preservation Officers, and local communities, and those working with internal stakeholders, such as master planning, public works, and range management. Nominations may be from the Military Departments or Defense agencies for (a) any U.S. Military active or closing installation worldwide and (b) any individual or team.

Environmental Quality (Tab C):

- Industrial Installation
- Overseas Installation

These awards recognize efforts to ensure mission accomplishment and protection of human health in the areas of environmental planning, waste management, and safe drinking water. Nominations may be from the Military Departments or Defense Agencies for (a) any U.S. Military active or closing industrial installation and (b) any enduring overseas U.S. Military active or closing installation.

Sustainability (Tab D):

- Non-industrial Installation
- Individual/Team

These awards recognize efforts to prevent or eliminate pollution at the source, including practices that increase efficiency and sustainability in the use of raw materials, energy, water, or other resources. Nominations may be from the Military Departments or Defense Agencies for (a) any U.S. Military active or closing installation worldwide and (b) any individual or team.

Environmental Restoration (Tab E):

• Installation

This award recognizes efforts to protect human health and the environment by cleaning up identified DoD sites in a timely, cost-efficient, and responsive manner. Nominations may be

from the Military Departments or Defense Agencies for any U.S. Military active or closing installation within the 50 United States and U.S. Territories.

Environmental Excellence in Small Program Weapon System Acquisition (Tab F):

• Individual/Team

This award recognizes efforts to incorporate environment, safety, and occupational health requirements into a small weapon system acquisition program's system engineering, contracting, and decision-making processes. Nominations may be from the Military Departments or Defense Agencies for an individual or team. Installations are not eligible for this award.

Nomination Packet Requirements and Format

The achievement period for the FY 2012 Secretary of Defense Environmental Awards is FY 2011 and FY 2012. The nomination packets should not feature achievements accomplished outside of this period and must be unclassified. Nomination packets must be submitted using the format and guidelines prescribed in this document. The nomination packet shall contain the following components as described below for each installation/individual/team:

- 1. Compliance History (see guidance below; no page limit)
- 2. Security Review Documentation (see guidance below; no page limit)
- 3. Nomination Submission Page (see guidance below)
- 4. Narrative (no more than 7 pages)
- 5. Summary for Awards Ceremony Brochure (no more than 1 page)
- 6. Photographs for Awards Ceremony Brochure (6 photos; no more than 2MB per photo)
- 7. Photograph Captions (see guidance below)
- 8. Logo (300 dpi image; no more than 2MB per logo)

A checklist with required documents and procedures for nominating each installation/individual/ team is on page 11.

Compliance History: Each installation in the U.S. or its territories shall submit to ODUSD(I&E) the latest available Detailed Facility Report from the U.S. Environmental Protection Agency (EPA) Environmental Compliance History Online (ECHO) database in .pdf format (<u>http://www.epa-echo.gov/echo/</u>).

Installations with any High Priority Violations (HPV) or Significant Non-Compliance (SNC) during the achievement period are <u>not eligible</u> to compete in <u>any</u> category of the Secretary of Defense Environmental Awards, unless the installation can demonstrate (with supporting documentation), that its inclusion in the ECHO report is erroneous. Prior to submitting nomination packets to ODUSD(I&E), DoD Components shall screen installation nominees against the ECHO report, as well as their own internal reporting on environmental violations, to ensure that there are no HPV or SNC issues.

Security Review Documentation: All information provided in the narrative must be unclassified and cleared for public release. Nomination packets must include copies of security review documentation for public release upon submittal to ODUSD(I&E). (Note: this documentation will not count towards the seven-page limit for the narrative.)

Nomination Submission Page: Each nomination packet shall include a submission page with the following information:

- 1. Award category
- 2. Name of nominated person, team, or installation
- 3. Title of nominee(s) (if individual/team award)
- 4. Telephone numbers (commercial and DSN) for nominee(s)
- 5. E-mail address of nominee(s)
- 6. Mailing address (appropriate for receipt of trophy) of nominee(s)

- 7. Name of nominating individual
- 8. E-mail address of nominating individual
- 9. Telephone numbers (commercial and DSN) for nominating individual
- 10. Mailing address of nominating individual
- 11. The name of the nominee(s) as it should appear on the award (engraving plate)

Narrative Packet: Each nomination packet shall include a narrative regarding the chosen award category, as described in Tabs A-F. Prepare the narrative in single-spaced text (12-point font) and use graphics (e.g., tables, charts, diagrams, photographs, maps), as appropriate, to clarify accomplishments; videos and music cannot be included. Graphic fonts, including photograph descriptions, should be no smaller than 10 point. The total text and graphics of the award narrative shall consist of no more than seven single-sided 8 $\frac{1}{2}$ " x 11" pages when printed. The narrative shall clearly address the six major judging criteria: program management; technical merit; orientation to mission; transferability; stakeholder interaction; and project impact. All information provided in the narrative must be unclassified and cleared for public release.

Summary for Awards Ceremony Brochure: The nomination packet shall contain a one-page summary, separate from the narrative, which is cleared for use in the awards ceremony brochure. The summary shall consist of single-spaced text (12-point font) on a single-sided 8 ¹/₂" x 11" page. Failure to include the additional one-page summary will disqualify the nomination packet. The summary shall include a paragraph (no more than 600 words) that (a) introduces the individual, team, or installation nominated for the award category, and (b) describes, in non-technical language, the project(s), program(s), effort(s) conducted by that individual, team, or installation. The summary should also include four to six bullets (no more than 60 words per bullet) describing the most outstanding accomplishments by the nominated individual, team, or installation during the award cycle. To the extent feasible, such accomplishments should be quantifiable (e.g., "improvements resulted in reducing net carbon dioxide emissions by 5,000 metric tons…").

Photographs for Awards Ceremony Brochure: Each nomination packet shall include at least six 4" x 6" photographs for use in the Secretary of Defense Environmental Awards ceremony brochure. Provide these photographs separately from the narrative in .jpg electronic format with a minimum resolution of 300 dots per inch (dpi) and not more than 2MB per image.

Photograph Captions: Each photograph must be accompanied by a three-sentence caption (**not imbedded in the photo**) in a Microsoft Word format that illustrates the nominee's performance in the submitted award category, suitable for direct importation into a brochure. Submit the photo captions separately from the narrative.

Logo: Each nomination packet shall include a high-quality 300 dpi image of the nominee's activity logo that is in .jpg electronic format and does not exceed 2MB.

Nomination Packet Check List

The purpose of the following checklist is to ensure that all nominees submit the required documentation with their nomination packets. It is not required for nomination submittal.

| Nomination submission page | |
|---|--|
| Environmental Compliance History Online (ECHO) Report(s) | |
| Nominee has been screened against ECHO and internal violation reports, and does not have any HPV or SNC violations during the achievement period | |
| Nomination submission page | |
| Narrative (7 page limit) | |
| Narrative/brochure summary (1 page limit) | |
| Brochure photos (6 photos; 300 dpi resolution; 2MB maximum each) | |
| Photo captions (maximum of 3 sentences) | |
| High resolution logo (300 dpi resolution; 2MB maximum) | |
| Security Review/Public Release documentation | |
| Accomplishments featured in the nominee's narrative occurred during the achievement period | |
| Nominee has been screened against all nomination criteria and is award eligible | |
| All information included in the nomination package is unclassified | |

Tab A1

Secretary of Defense Environmental Award Category Natural Resources Conservation – Large Installation

Eligibility: Presented to large installations with more than 10,000 acres, including leased, military-owned, or administered outlying ranges or training practice areas. To be eligible for natural resources awards, installations must be covered by a compliant Integrated Natural Resources Management Plan (INRMP) during the entire achievement period, and must have conducted an internal natural resources self-assessment based on DoD's Natural Resources Conservation Metrics within the past year. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Definitions:

<u>Compliant INRMP</u>: An INRMP that has been both approved in writing, and reviewed, within the past five years, as to operation and effect, by authorized officials of DoD, DOI, and each appropriate state fish and wildlife agency.

<u>Review as to operation and effect</u>: A comprehensive, joint review by the parties to the INRMP, conducted no less often than every five years, to determine whether the plan needs a minor change or revision to continue to address adequately the purposes and requirements of the Sikes Act.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program.
- 2. The program's technical merits.
- 3. How well the program supported the military readiness mission.
- 4. How effectively the nominee disseminated lessons learned to others.
- 5. The nominee's success in involving base personnel, residents, and the local community in the program.
- 6. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

Introduction: Introduce the installation by describing the following:

- 1. Its mission.
- 2. Approximate civilian and military population (unless classified).
- 3. Total acreage under the nominee's INRMP, followed by a description of the component acreage under the natural resources management program (e.g., improved, semi-improved, and unimproved acreage; acres of managed forests, wildlife, grazing, agriculture, unique natural areas, lakes, or wetlands; miles of streams or coastline; and acres available for hunting, fishing, and other outdoor recreation).
- 4. Significant natural features of the nominee, such as geological and botanical assets.

<u>Background:</u> Provide background information regarding updating and implementing the installation's INRMP and natural resources program. List the dates of approval and revision, if appropriate, of the nominee's INRMP and of the most recent internal natural resources self-assessment. List and provide preparation and revision dates for the cooperative agreements that support the INRMP. Describe the organization and staffing of the nominee's natural resources management program and progress made to incorporate requirements identified in the INRMP into the nominee's Environmental Management System. Describe any committees or boards that influence the nominee's natural resources management program.

<u>Summary of Accomplishments</u>: Describe the most outstanding features and accomplishments of the natural resources program during the achievement period. Summarize how the program implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List the objectives of the INRMP and the degree of attainment of each objective during that period. Provide examples of science and research support that enable the mission. Explain how the program's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab A2; however, the nominee is not limited to these examples.

Tab A2

Natural Resources Conservation Examples of Accomplishments

Overall Natural Resources Conservation Management:

- 1. Multiple-use coordination of forestry, land use management, outdoor recreation, wildlife, aesthetics, and threatened and endangered species habitat with the military mission and other operations.
- 2. Improvements in planning, programming, and budgeting, including innovative cost reduction initiatives, to support the natural resources program.
- 3. Use of alternative management approaches, technologies, and staffing to enhance the natural resources program.
- 4. Status of INRMP implementation.
- 5. Application of principles and guidelines of ecosystem management in a regional planning context, to include consideration of economic, social, and environmental factors.
- 6. Monitoring of wildlife or ecosystems types and changes over time in relation to climate change and other stressors.

Mission Enhancement: How accomplishments and improvements in the natural resources management program have enhanced the ability of the nominee to carry out its military mission. Describe how the mission was maintained or enhanced. Describe how the INRMP provided conservation benefits for a listed or candidate species that precluded critical habitat designation.

Land Use Management:

- 1. Erosion control and other water quality protection.
- 2. Water conservation.
- 3. Agricultural land management, including prime and unique farmland protection, and out leasing programs.
- 4. Natural resources improvements and benefits due to agricultural out leases.
- 5. Environmentally beneficial landscaping and native plant conservation/use, emphasizing those that reduce long-term maintenance costs.
- 6. Coordination and cooperation with U.S. Department of Agriculture Natural Resources Conservation Service, County Agricultural Extension Service, and/or other land management agencies.

Forest Management:

- 1. Reforestation.
- 2. Timber-stand improvements.
- 3. Use of prescribed burning.
- 4. Establishment and protection of unique forest areas.
- 5. Cooperative efforts with U.S. Forest Service, state foresters, and similar groups or agencies.
- 6. Commercial forestry programs.

Fish and Wildlife:

- 1. Health of species and habitats.
- 2. Protection of federal and state listed threatened and endangered species and their habitats.
- 3. Game and non-game fish and wildlife habitat improvements.
- 4. Identification and protection of candidate and at-risk species.
- 5. Reintroductions and stocking of native species.
- 6. Degree of access and use of hunting and fishing opportunities by the nominee's personnel and the general public.
- 7. Improvements in permitting programs; fee schedule for hunting, fishing, or other opportunities.
- 8. Identification and protection of significant wildlife resources.
- 9. Protection and enhancement of biodiverse ecosystems and critical habitats.
- 10. Protection or enhancement of migratory bird habitat and flyways.
- 11. Coordination and cooperation with U.S. Fish and Wildlife Service, and state fish and wildlife agencies, including annual program reviews of effectiveness of INRMP implementation.
- 12. Coordination with state wildlife action plans.

Other Natural Resources:

- 1. Camping, bird watching, and trails (nature, hiking, and watchable wildlife).
- 2. Off-road vehicle use and control.
- 3. Permit program.
- 4. Estimated number of users; both general public and DoD personnel.
- 5. Cooperation and coordination with federal, state, and local outdoor recreation agencies.
- 6. Provisions for disabled access.
- 7. Native pollinator conservation/enhancement.
- 8. Research, development, and demonstration/validation activities.

Invasive Species Control and Pest Management:

- 1. Applications of integrated pest management that support and improve the nominee's natural resources management program, especially procedures that reduce required pesticide applications.
- 2. Efforts to control nuisance and non-native invasive species and preventing invasion and/or rapid detection and immediate control of invasive species that adversely impact mission training capabilities and nominee's natural resources.
- 3. Scouting, public school classes, and other group activities related to natural resources conservation.

Conservation Education (on and off nominee's property):

- 1. Natural resources management regulations and enforcement program.
- 2. Gun and water safety, camping, and outdoor ethics programs.

3. Scouting, public school classes, and other group activities related to natural resources conservation.

Community Relations:

- 1. Public awareness programs and involvement in natural resources conservation programs on and off the nominee's property.
- 2. Affiliation of the nominee's personnel with civic and private natural resources conservation organizations and academic institutions.
- 3. Cooperation with federal, state, local, and private natural resources conservation organizations and academic institutions.
- 4. Volunteer and partnership programs (i.e., level of participation, benefits to the nominee).

Environmental Enhancement: How accomplishments and improvements in the natural resources management program have improved the quality of life for the nominee's personnel and for surrounding communities.

Natural Resources Compliance Program:

- 1. Interaction with regulators, inspectors, and auditors, including any open biological opinions or court actions.
- 2. Budget data to illustrate adequate funding is budgeted and received.
- 3. Natural resources damage assessment efforts.

Tab B1

Secretary of Defense Environmental Award Category Cultural Resources Management – Installation

Eligibility: Presented to an installation that has made significant and lasting contributions to DoD CRM. The installation must show that it has a current and approved Integrated Cultural Resources Management Plan (ICRMP) for the entire achievement period. All types (industrial, non-industrial) and sizes (large, small) of installations are eligible in this award category. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed their CRM program, in accordance with the goals and objectives of the installation ICRMP and mission support needs.
- 2. The installation's involvement developing any Program Alternatives or utilizing existing Program Alternatives during award achievement period.
- 3. How well the nominee supported the military readiness/responsible CRM practices mission.
- 4. How effectively the nominee disseminated lessons learned to others (internal and external to the installation).
- 5. The nominee's success involving internal and external stakeholders in the CRM program.
- 6. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

Introduction: Introduce the installation by providing the following information:

- 1. Description of the installation mission(s)
- 2. Geographic information, such as location and size
- 3. Maps showing any historic districts associated with the installation being nominated for the award.

<u>Background:</u> Provide background information regarding updating and implementing the installation's ICRMP and CRM program. To be eligible for cultural resources awards, installations or civil works facilities must be covered by an approved ICRMP during the entire achievement period. Provide the date and process details of the installation's last ICRMP revision. Describe your CRM program, including

- 1. The number of staff assigned to CRM on your installation
- 2. The educational backgrounds of the installation's CRM staff
- 3. A description of any extant CRM management tools such as Programmatic Agreements, Corporative Agreements, or use of Program Alternatives (as found in 36 CFR Part 800.14)
- 4. A description of the installation's tribal consultation program (if the installation has land affiliated with federally recognized tribes, Native Hawaiian Organizations, or Alaska Native villages).

<u>Summary of Accomplishments</u>: Describe why the nominee deserves recognition for CRM. If applying based on an installation's overall program accomplishments, include (a) a detailed list describing the nominee's most outstanding program features from the achievement period; (b) the inclusion of program features in the nominee's ICRMP; (c) a description of the installation's progress over the achievement period in achieving the goals and benchmarks stipulated within your ICRMP; and (d) a summary highlighting how CRM has improved mission support (e.g., through expanded partnerships with internal stakeholders). Explain how nominee's accomplishments are distinct from past successes or significantly support the mission.

If an installation is nominated based on a specific program accomplishment or initiative, include (a) a description of how the nominated program/initiative meets or exceeds the goals and requirements of the nominee's ICRMP; (b) a summary highlighting how the nominated program/initiative has improved CRM and mission support; and (c) an explanation describing how the nominated program/initiative differs from routine CRM activities.

Provide specific examples of the installation's CRM accomplishments during the achievement period. Summarize how the installation implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. Illustrative examples of accomplishments can be found at Tab B3; however, the nominee is not limited to those examples.

Tab B2

Secretary of Defense Environmental Award Category Cultural Resources Management – Individual/Team

Eligibility: Presented to any person or team consisting of two or more persons, who has made significant and lasting contributions to DoD CRM. If nominated for an individual award, the nominee must be a DoD civilian employee (including IPAs), or a member of the U.S. Armed Forces. If nominated for a team award, one or more, but not all, of the members of the team may be contractor employees; the other team members must be DoD civilian employees (including IPAs), or members of the U.S. Armed Forces. Winners will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for further detail):

- 1. How well the nominee managed their CRM program, in accordance with the goals and objectives of the installation ICRMP and mission support needs.
- 2. The nominee's use of innovative techniques, such as utilizing existing Program Alternatives (as defined in 36 CFR 800.14) or whether they were part of a team that developed new Program Alternatives during the award achievement period.
- 3. How well the nominee supported the military readiness/responsible CRM practices mission.
- 4. How effectively the nominee disseminated lessons learned to others (internal and external to the installation).
- 5. The nominee's success involving internal and external stakeholders in the CRM program.
- 6. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Background:</u> List the individual's, or each team member's, name, title or position, and employing organization.

<u>Position Description:</u> Provide a summary of the nominee's major routine duties and responsibilities during the achievement period.

<u>Summary of Accomplishments:</u> Describe the most outstanding accomplishments of the nominee during the achievement period. Summarize how the nominee implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List and describe awards or other CRM recognition given to the nominee during the achievement period. Describe any relevant professional achievements, including any community service associated with their work in DoD CRM, participation in related professional organizations/conferences, and development and/or completion of any CRM initiatives that were mission and CRM supporting above and beyond the individual's regular duties. Explain how nominee's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab B3; however, the nominee is not limited to those examples.

Tab B3

Cultural Resources Management Examples of Accomplishments

Overall Cultural Resources Management:

- 1. Improvements in planning, programming, and budgeting, including innovative cost reduction initiatives to support DoD CRM.
- 2. Coordination of CRM with mission operations, real property asset management, range sustainment, and general operations such as construction, building maintenance, and repair.
- 3. Use of alternative management approaches, techniques, and staffing to enhance the CRM program.
- 4. Status of ICRMP National Register of Historic Places eligibility evaluations (for archaeological resources, historic buildings, landscapes, structures and objects).
- 5. Use of other available programs to support CRM (e.g. Environmental Security Technology Certification Program [ESTCP], DoD Legacy Resource Management program).

Historic Buildings and Structures:

- 1. Use of historic assets to support mission needs (including adaptive reuse).
- 2. Appropriate maintenance and repair in accordance with the Secretary of the Interior's Standards, including cost effective measures.
- 3. Rehabilitation in accordance with the Secretary of the Interior's Standards, including economic analysis.
- 4. Use of historic tax credits for leased and/or privatized properties.
- 5. Resources evaluated for National Register eligibility.
- 6. Accurate coding of historic assets in real property inventory/data bases.

Archaeological Resources:

- 1. Evidence of an increase in total acres on an installation surveyed for archaeological resources.
- 2. Acres surveyed during award achievement period that, as a result, were made available for military testing and training.
- 3. Site protection/compliance enforcement.
- 4. Data recovery efforts.
- 5. Public interpretation efforts.
- 6. Research initiatives and scientific contributions.

Native American Program:

- 1. Established or improved upon existing tribal consultation for the nominee installation, or by individuals for a specific installation.
- 2. Worked with relevant tribes to ensure protection of sacred sites.
- 3. Established or maintained appropriate access agreements with relevant tribes for access to sites on installation(s) with religious or cultural significance to said tribe(s).
- 4. Inventory and repatriation efforts completed or in process for all sites/artifacts/items of religious cultural patrimony in accordance with the Native American Grave Protection and Repatriation Act (NAGPRA) associated with the nominated installation.

5. Inventory and repatriation efforts completed or in process for all sites/artifacts/items of religious cultural patrimony in accordance with NAGPRA under the purview of the individual/team nominee(s).

Curation:

- 1. Development of a curation facility that meets the requirements of 36 CFR 79.
- 2. Development of agreements with outside organizations to curate installation artifacts and associated records in accordance with 36 CFR 79.
- 3. Ensuring collection(s) meet 36 CFR 79, or initiatives to improve collections management in accordance with 36 CFR 79.
- 4. Support of initiatives that make collections available to researchers and the public.

Cultural Resources Awareness and Education (on and off nominee property):

- 1. Creation of cultural awareness programs for DoD civilian and military personnel.
- 2. Development and maintenance of CRM outreach programs for educational institutions and community groups.
- 3. CRM related contributions to educational programs at all levels of academia.

Community Relations:

- 1. Development of public interpretation initiatives for DoD cultural resources.
- 2. Fostering public awareness programs and involvement in cultural resources preservation efforts both on an installation as well as in an adjacent community.
- 3. Affiliation of the nominee(s) with civic and private cultural resources organizations and academic institutions.
- 4. Development of partnerships with federal, state, tribal, local, and private cultural resources organizations.
- 5. Involvement in volunteer and partnership programs, (e.g., level of participation, benefits to the nominee(s)).
- 6. Examples of how CRM accomplishments of nominee(s) have improved the quality of life for nominee installation and/or surrounding communities.

Mission Enhancement:

- 1. Development of initiatives that support mission needs through re-use of historic properties.
- 2. Development of partnerships (either internal or external) that enhance CRM support of military mission.
- 3. Programs that enabled additional land to be made available for military testing/training through proactive CRM.

Cultural Resources Compliance:

- 1. Interaction with external stakeholders, such as the National Park Service, State Historic Preservation Officers (SHPOs), the Advisory Council on Historic Preservation, federally recognized tribal governments, and local governments.
- 2. Tracking of budget data to illustrate adequate funding and budgeting for CRM on nominated installation.
- 3. Measurable success in improving CRM consultation prior to initiation of actions.

- 4. Examples of success in managing significant or complex cultural resources compliance actions.
- 5. Examples of success in utilizing existing Program Alternatives in accordance with 36 CFR 800.14.

Tab C1

Secretary of Defense Environmental Award Category Environmental Quality – Industrial Installation

Eligibility: Presented to an installation that has a primary mission of manufacturing, maintaining, or rehabilitating military equipment. Ranges, test centers, and research and development (R&D) centers should not compete in the industrial category. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program and Environmental Management System (EMS) implementation.
- 2. The program's technical merits.
- 3. How successful the program was in preferentially targeting reduction of significant sources of waste and harmful discharges and emissions, while maintaining or improving overall mission and environmental, safety, and health performance.
- 4. How well the program supported the military readiness/civil works mission, and how effectively the program integrated the management of significant environmental aspects into mission activities, as reflected by the involvement of line organizations in EMS implementation.
- 5. How effectively the nominee disseminated lessons learned to others.
- 6. The nominee's success in involving installation personnel, residents, and the local community in the program.
- 7. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Introduction</u>: Describe its mission, approximate civilian and military population (unless classified), and total acreage. Describe the environmental, geographical, political, economic, and community setting of the nominee.

<u>Background:</u> Provide background information about the installation's environmental quality program. Summarize the significant environmental aspects of the mission and other environmental challenges affecting the nominee. Describe the organization and staffing of the nominee's environmental management program, the management approach employed, and the extent of conformance with DoD and Component EMS policy and guidance. Describe the nominee's involvement in community committees, boards, and partnerships that affect the nominee's management of the environmental aspects of the mission. Describe significant environmental plans and agreements, including the dates of preparation or latest revision of each.

<u>Summary of Accomplishments:</u> Describe the installation's most outstanding accomplishments and how the nominee improved environmental quality and/or protected human health during the achievement period. Summarize how the installation implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List the objectives of the environmental management program or, when applicable, the EMS, as well as the degree to which the nominee attained relevant objectives during the achievement period. Describe the extent to which line organizations have demonstrated operational controls and are effectively managing significant environmental aspects to achieve environmental objectives and long-term mission sustainment. Describe the most outstanding features of the program, including significant progress on EMS implementation and operation. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Describe what is unique about the program, its cost effectiveness, and whether it goes beyond meeting statutory and regulatory requirements. Illustrative examples of accomplishments can be found at Tab C3; however, the nominee is not limited to those examples.

Tab C2

Secretary of Defense Environmental Award Category Environmental Quality – Overseas Installation

Eligibility: For overseas installations, all types (industrial, non-industrial) and sizes (large, small) of installations are eligible. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program and EMS implementation.
- 2. The program's technical merits.
- 3. How successful the program was in preferentially targeting reduction of significant sources of waste and harmful discharges and emissions, while maintaining or improving overall mission and environmental, safety, and health performance.
- 4. How well the program supported military readiness, and how effectively the program integrated the management of significant environmental aspects into mission activities, as reflected by involvement of line organizations in EMS implementation.
- 5. How effectively the nominee disseminated lessons learned to others.
- 6. The nominee's success in involving base personnel and residents of military housing in the program.
- 7. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Introduction</u>: Introduce the installation by describing its mission, approximate civilian and military population (unless classified), and total acreage. Describe the environmental, geographical, political, economic, and community setting of the nominee.

<u>Background:</u> Provide background information about the installation's environmental quality program. Summarize the significant environmental aspects of the mission and other environmental challenges affecting the nominee. Describe the organization and staffing of the nominee's environmental management program, the management approach employed, and the extent of conformance with DoD and Component EMS policy and guidance. Describe the nominee's involvement in community committees, boards, and partnerships that affect the nominee's management of the environmental aspects of the mission. Describe significant environmental aspects of preparation or latest revision of each.

<u>Summary of Accomplishments</u>: Describe the most outstanding accomplishments of the nominee during the achievement period. Summarize how the installation implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List the objectives of the environmental management program or, when applicable, the EMS, as well as the degree to which the nominee attained relevant objectives during the achievement period. Describe the extent to which line organizations have demonstrated operational controls and are effectively managing significant environmental aspects to achieve

environmental objectives and long-term mission sustainment. Describe the most outstanding features of the program during that period, including significant progress on EMS implementation and operation. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Describe what is unique about the program, its cost effectiveness, and whether it goes beyond meeting statutory and regulatory requirements. Illustrative examples of accomplishments can be found at Tab C3; however, the nominee is not limited to those examples.

Tab C3

Environmental Quality Examples of Accomplishments

Environmental Management System (EMS):

- 1. Setting/meeting goals, objectives, and targets.
- 2. Conducting EMS audits and feeding results back into process improvement procedures.
- 3. Management review process.
- 4. Stakeholder involvement and integration of environmental management with mission/energy/transportation activities.
- 5. Use of cross-functional teams.
- 6. Training (awareness, executive, and implementation team).
- 7. EMS impacts on the installation and environmental/operational issues.

Waste Reduction Efforts (all media areas):

- 1. Maintaining permits and compliance records.
- 2. Meeting permit and regulatory requirements.
- 3. Operating plant/facility efficiencies.
- 4. Material or process change/source reduction, including identifying projects, materials, and process changes to enhance and ensure the long-term sustainability of the mission, to prevent resource depletion, and to avoid adverse impacts on natural assets and human health.
- 5. Sampling/monitoring techniques.
- 6. Human health considerations.
- 7. Recycling and waste diversion efforts and accomplishments.
- 8. Reducing funds expended.

Environmental Compliance Assessment and Management Program:

- 1. Routine self-assessments and follow-up, including root cause analysis and overall program management.
- 2. Interaction with regulators with regard to inspections, agreements, and other regulatory actions (U.S. only).
- 3. Funding information to illustrate adequate funds are being requested and received for execution against program requirements.
- 4. Environmental operations and programs.
- 5. Training programs.
- 6. Water resource management and efficiency.

Effective Use of Funds: Describe ways in which the program allowed the nominee to reduce funding expenditures, enhance performance, or increase productivity within the environmental budget and relevant line organization budgets.

Community Relations (U.S. Only):

- 1. Programs and activities to enhance environmental awareness and community involvement (both on and off-site) and affiliation of the nominee's personnel with civic and local environmental organizations.
- 2. Cooperation with federal, state, local agencies, organizations, and academic institutions.

- 3. Environmental education efforts including Community Right-to-Know activities (on and off the installation).
- Compliance with Executive Order (E.O.) 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, 1995; support of the 2011 Memorandum of Understanding on Environmental Justice and E.O. 12898; and documentation, identification, and analysis of any disproportionate impacts on targeted minority or low-income communities.

Community Relations (Overseas): Programs and activities to enhance environmental awareness and community involvement for base personnel and residents of military housing.

National Environmental Policy Act (NEPA) Planning, Analysis, and Implementation (U.S. only):

- 1. Methodology, integration, and institutionalization of environmental analyses into planning and decision making for each proposal.
- 2. Setting objectives and goals that promote long-term operational sustainability and developing a plan of action to streamline the process of identifying the proposed action, appropriate alternatives, and mitigation measures.
- 3. Management techniques employed and their effectiveness in public involvement and participation, to include actions to engage in cooperative consultation with other federal, state, and local agencies, and Native Americans (Indians, Alaskans, and Hawaiians).
- 4. Examples of ensuring editorial excellence, including readability and brevity.
- 5. Controls to monitor the environmental effects of the proposed action and the impact of mitigation measures adopted.

Environmental Planning and Analysis (Overseas only, E.O. 12114, *Environmental Effects Abroad Of Major Federal Actions*, 1979):

- 1. Application of innovative environmental analysis, flexibility in analysis, and cost reduction.
- 2. Scoping and/or focusing analysis to streamline the process of identifying the proposed action, appropriate alternatives, and mitigation measures.
- 3. Setting objectives and goals that promote long-term operational sustainability and developing a plan of action.
- 4. Proposals analyzed, decisions made, and the environmental planning process executed for each proposal.
- 5. Methodology for integrating environmental analyses into planning and decision-making.
- 6. Results of impact mitigation measures.

Tab D1

Secretary of Defense Environmental Award Category Sustainability – Non-industrial Installation

Eligibility: Presented to installations that have made significant progress implementing sustainable practices, as defined in E.O.13423, *Strengthening Federal Environmental, Energy, and Transportation Management*, 2007 and E.O.13514, *Federal Leadership in Environmental, Energy, and Economic Performance*, 2009. All sizes of non-industrial installations (large, small) are eligible in this award category. Installations with a primary mission of producing, maintaining, or rehabilitating military equipment should not compete in the non-industrial category. Ranges, test centers, contracting and policy agency/organizations/offices, and R&D centers should compete in the non-industrial category. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program.
- 2. The program's technical merits.
- 3. How successful the program was in preferentially targeting reduction of significant sources of waste and harmful discharges and emissions, while maintaining or improving overall mission and environmental, safety, and health performance.
- 4. How well the program supported the military readiness/civil works mission, how effectively sustainable practices were integrated into mission activities, and how the practices were used to enhance long-term mission sustainability.
- 5. How effectively the nominee disseminated lessons learned to others.
- 6. The nominee's success in involving base personnel, process owners, residents, and the local community in the program.
- 7. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Introduction:</u> Introduce the installation by describing its mission, approximate civilian and military population (unless classified), and total acreage. Describe the environmental, geographical, political, economic, and community setting of the nominee.

<u>Background:</u> Provide background information about the nominee's sustainability program, including the functional offices represented and the management approach used. Focus on the 2010 and 2011 DoD Strategic Sustainability Performance Plan (SSPP) goals and metrics. This includes, but is not limited to the nominee's green procurement program, toxic chemical reduction programs, green buildings, electronics stewardship, energy and water efficiency, and renewable energy. Include the involvement of environmental, procurement, logistics and line personnel. Describe programs for improving stakeholder involvement from line organizations, communities, or boards that assist in and influence sustainable practices. Summarize the significant program aspects that support the mission, as well as sustainability challenges affecting the nominee.

<u>Summary of Accomplishments:</u> Describe the nominee's most outstanding accomplishments during the achievement period. Summarize how the nominee implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List the objectives of the sustainable practices, master planning, natural infrastructure management, improved air quality, green construction practices (including reduction, reuse, and recycling of toxic contaminants; water and energy efficiency; increase in use of renewables; and green procurement) and the degree of attainment of each objective during the achievement period. Describe the nominee's plans and progress made toward integrating sustainable practices into the management of mission activities. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab D3; however, the nominee is not limited to those examples.

Tab D2

Secretary of Defense Environmental Award Category Sustainability – Individual/Team

Eligibility: Presented to any person or team consisting of two or more persons responsible for significant progress in implementing sustainable practices, as defined in E.O.13423, E.O.13514, and the 2010 and 2011 DoD SSPP. If nominated for an individual award, the nominee must be a DoD civilian employee or member of the U.S. Armed Forces. If nominated for a team award, one or more, but not all, of the members of the team may be contractor employees; the other team members must be DoD civilian employees or members of the U.S. Armed Forces. Winners will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program.
- 2. The program's technical merits.
- 3. How successful the program was in preferentially targeting reduction of significant sources of waste and harmful discharges and emissions, while maintaining or improving overall mission and environmental, safety, and health performance.
- 4. How well the program supported the military readiness/civil works mission, how effectively sustainable practices were integrated into mission activities, and how the practices were used to enhance long-term mission sustainability.
- 5. How effectively the nominee disseminated lessons learned to others.
- 6. The nominee's success in involving base personnel, process owners, residents, and the local community in the program.
- 7. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Background:</u> List the individual's, or each team member's, name, title or position, and employing organization.

<u>Position Description:</u> Provide a summary of the nominee's major routine duties and responsibilities during the achievement period.

<u>Summary of Accomplishments:</u> Describe the nominee's most outstanding accomplishments during the achievement period. Summarize how the nominee implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List and describe awards and other special recognition given to the nominee during FY 2011-FY 2012. Summarize related professional achievements, including community service work and participation in professional organizations. List the individual's or team's objectives for one or more areas in sustainable practices, master planning, natural infrastructure management, improved air quality, green construction practices (including reduction, reuse, and recycling of toxic contaminants; reduction of water and energy use; and increase in use of renewables and green procurement) and the degree of attainment of each objective during the achievement period. Describe the most outstanding features of the program, including plans developed and progress made toward integrating sustainable practices into the management of mission activities. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab D3; however, the nominee is not limited to those examples.

Tab D3

Sustainability Examples of Accomplishments

Livable Communities, Master Planning and Green Buildings: Describe how construction practices, new structures, and existing structures accomplish the following:

- 1. Optimize site potential and incorporate low impact development.
- 2. Minimize energy consumption and maximize energy reduction.
- 3. Protect and conserve water, resulting in water consumption reduction during construction and facility operations.
- 4. Improve energy and water efficiency.
- 5. Incorporate storm water management.
- 6. Enhance indoor environmental quality.
- 7. Optimize operations and maintenance practices.

Describe how the nominee(s)

- 8. Identifies facilities planned, underway, and completed to U.S. Green Building Council standards, or other equivalent standards, and level of certification achieved, if any
- 9. Updates master plans to create livable communities.

Compliance with E.O. 13514: Describe activities being undertaken by the nominee to meet E.O. 13514 requirements, such as reducing greenhouse gas emissions; improving water use efficiency and management; promoting pollution prevention; eliminating waste; advancing regional and local integrated planning; implementing high performance sustainable federal building design, construction, operation and management, maintenance, and deconstruction; advancing sustainable acquisition; promoting electronics stewardship; and sustaining environmental management.

Material Management:

- 1. Describe efforts to identify possible alternatives to environmentally harmful substances or virgin materials. Describe how alternatives avoid resource depletion and impacts on the natural environment and human health, thereby supporting long-term operational sustainability.
- 2. Describe how substitutes reduce/eliminate environmental issues.
- 3. Determine if the material substitution is transferable to other processes on the nominee's property or at other DoD locations.
- 4. Describe efforts by industrial process owners/operators to implement pollution prevention/sustainability initiatives.
- 5. Describe reductions in risk, costs, emissions, virgin material, and/or hazardous material used in the changed process. Describe how the changes reduce, minimize, or avoid resource depletion and impacts on human health and the environment. Explain how changes support long-term operational sustainability.
- 6. Describe how the nominee has changed its material management practices to reduce use of hazardous materials.
- 7. Describe measurable results achieved with the changed material management practices (e.g., a decrease in generation of air or water pollution, a decrease in volume and cost of

hazardous waste disposal, a reduced risk to workers, and/or a cost savings in procurement of materials).

Compliance with E.O. 13423: Describe how the nominee is meeting the goals in section 2 of E.O. 13423, such as improving energy efficiency; reducing greenhouse gas emissions; supporting renewable energy generation projects; reducing water consumption; implementing sustainable acquisition processes; reducing toxic and hazardous materials; complying with the Guiding Principles for Federal Leadership in High Performance and Sustainable Buildings; reducing consumption of petroleum products; and promoting electronic stewardship.

Recycling Program: Describe the following:

- 1. The type and size of the recycling program (exclude scrap metals recycling).
- 2. The types of solid waste materials recycled.
- 3. Other materials recycled, including hazardous materials.
- 4. The installation composting program, if one exists.
- 5. Manufacturing source reduction.
- 6. Cost avoidance (total solid waste management costs) from recycling.
- 7. Building materials recycling and deconstruction recycling.
- 8. New recycling technologies or techniques used in recycling.
- 9. How activities or communities benefited from the recycling program.
- 10. Other solid waste diversion efforts.

Green Procurement:

- 1. Describe the type and size of the green procurement program.
- 2. Describe the nature and extent of personnel/organizational awareness training in federal green purchasing programs (affirmative procurement of recycled content products, biobased products, energy efficient products, low standby power products, water conserving products, low-volatile organic chemical products, and others, as appropriate).
- 3. Describe functional areas participating in the green procurement program.
- 4. Identify EPA guideline items purchased.
- 5. Identify other recycled content and environmentally preferable items purchased.
- 6. Describe increases in the purchase and use of recycled content items.
- 7. Explain the nominee's use of performance measurement to improve program effectiveness.
- 8. Identify modifications of specifications, statements of work, and contracts to promote purchases of recycled content items.

Compliance with Sustainable Landscaping: Describe how the nominee is meeting the goals outlined in the October 2011 *Guidance for Federal Agencies on Sustainable Practices for Designed Landscapes*, such as site selection and planning; soil conservation; water conservation and efficiency; vegetation management; and sustainable materials management.

Compliance with Fleet Performance: Describe how the nominee is meeting the goals outlined in the May 2011 *Presidential Memorandum on Federal Fleet Performance*, such as making fleets more fuel-efficient (e.g., with smaller, electric hybrid and/or fuel cell vehicles), and optimizing fleet size by eliminating unnecessary or non-essential vehicles.

Education, Outreach, and Partnering:

- 1. Describe programs implemented that enhance sustainability at any level or any functional area of the Military Department or Defense Agency.
- 2. Describe initiatives taken to transfer sustainability lessons learned to other parts of DoD.
- 3. Describe community involvement, activities, and affiliations with civic and environmental organizations in sustainability.
- 4. Describe cooperation with federal, state, local agencies, organizations, and academic institutions on sustainability activities.
- 5. Describe efforts to gather community stakeholder input in establishing sustainability objectives relevant to the mission.

Tab E1

Secretary of Defense Environmental Award Category Environmental Restoration – Installation

Eligibility: Presented to an installation that has made a significant contribution to environmental restoration. All types (industrial, non-industrial) and sizes (large, small) of installations in the United States and its territories are eligible in this award category. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Judging Guidance for additional detail):

- 1. How well the nominee managed the program.
- 2. The program's technical merits.
- 3. How well the program supported the military readiness/civil works mission.
- 4. How effectively the nominee disseminated lessons learned to others.
- 5. The nominee's success in involving base personnel, residents, and the local community in the program.
- 6. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Introduction</u>: Introduce the installation by describing its mission, approximate civilian and military population (unless classified), and total acreage. Describe the environmental, geographical, political, economic, and the community setting of the nominee.

<u>Background:</u> Provide background information about the installation's restoration program. Summarize the nominee's environmental restoration challenges. Describe the organization, staffing, and management approach of the nominee's environmental restoration program. Describe community involvement programs, such as restoration advisory boards (RABs) or technical review committees. List any environmental restoration agreements and the dates of their preparation or last revision. List any relevant environmental restoration plans, schedules, or associated documents, (e.g., records of decision and engineering evaluation/cost analysis). Describe any initiatives undertaken in the environmental restoration program.

<u>Summary of Accomplishments</u>: Describe the nominee's most outstanding accomplishments during the achievement period. Summarize how the nominee implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. Summarize the objectives of the nominee's environmental restoration program and the degree of success reached for each objective in FY 2011-FY 2012. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab E2; however, the nominee is not limited to those examples.

Tab E2

Environmental Restoration Examples of Accomplishments

Accelerated Environmental Cleanup:

- 1. List the nominee's efforts to accelerate cleanup at sites.
- 2. Identify the number of acres or percentage of land cleaned up and subsequently transferred back to the community to date, relative to progress made by other installations, other DoD Components, and DoD restoration goals.
- 3. Describe initiatives to integrate property reuse/development into site cleanups.
- 4. Give examples of streamlining in the environmental restoration process that have resulted in accelerated cleanups.

Innovative Technology Demonstration/Validation and Implementation:

- 1. Provide examples of innovative technologies that reduced the nominee's environmental restoration costs.
- 2. Describe innovative technologies the nominee demonstrated, validated, and/or implemented.

Partnerships Addressing Environmental Restoration Issues Between DoD and Other Entities:

- 1. Describe how the nominee worked with the state, local government, and affected community or other federal agencies to share restoration lessons learned, improve effectiveness, reduce costs, and accelerate cleanups.
- 2. Describe tangible results of those efforts including documented decisions and/or agreements reached with stakeholders.

Reducing Risk to Human Health and the Environment:

- 1. Describe how cleanup activities reduced the risk to human health and the environment.
- 2. Describe how improvements in the nominee's site management techniques reduced the risk to human health and the environment.
- 3. Describe how improvements in the nominee's site characterization techniques reduced the risk to human health and the environment.

Green Remediation:

- 1. Describe your strategy to implement green and sustainable remediation opportunities and present any guidance you may have issued or have under development.
- 2. Describe your success in implementing green and sustainable remediation and discuss any innovative approaches (e.g., tools, partnerships) used to achieve success.

Tab F1

Secretary of Defense Environmental Award Category Environmental Excellence in Small Program Weapon System Acquisition-Individual/Team

Eligibility: Presented to any individual or team that is part of an acquisition program of record in Acquisition Category II or III (or IV, as applicable), which has established an environment, safety, and occupational health (ESOH) effort. This ESOH effort shall have accomplished the following:

- 1. Identified ESOH hazards.
- 2. Documented the associated ESOH risks.
- 3. Mitigated the associated risks through systems engineering.
- 4. Accepted the ESOH risk at the appropriate management level for one or more systems acquisition programs.
- 5. Established a partnership with the system's end users, receiving installations, and training locations and ensured NEPA and E.O. 12114 compliance requirements are addressed before the system is delivered.

If nominated for an individual award, the nominee must be a DoD civilian employee (including IPAs) or member of the U.S. Armed Forces. If nominated for a team award, the team shall include DoD civilian employees (including IPAs) or members of the U.S. Armed Forces and may include DoD contractor employees. The winner will receive a trophy and a Secretary of Defense certificate. Honorable mentions will receive a Secretary of Defense certificate.

Judging Criteria: The judges will evaluate nominations based on the following criteria (see Tab F2 for additional detail):

- 1. How well the nominee managed the program.
- 2. The program's technical merits.
- 3. How well the program supported the military readiness mission.
- 4. How effectively the nominee disseminated lessons learned to others.
- 5. The nominee's success in involving base personnel, residents, and the local community in the program.
- 6. The nominee's plans to ensure that the impacts of program accomplishments extend beyond the achievement period.

Narrative Packet:

<u>Background:</u> List the individual or team name and each team member's name, title or position, and employing organization.

<u>Position Description:</u> Provide a summary of the nominee's major duties and responsibilities during the achievement period.

Program Description:

1. Briefly describe the systems acquisition program.

- 2. Describe the nominee's ESOH effort and approach relative to the systems engineering and risk management processes and program management, including coordination with users for risk management.
- 3. Summarize other organizations/Integrated Product Teams/teams that influenced or participated in the nominee's ESOH activities.

<u>Incorporating ESOH Integration into Systems Engineering (if applicable)</u>: Summarize the following aspects of the team's ESOH effort:

- 1. How the nominee used the Systems Engineering Plan (SEP) and Programmatic ESOH Evaluation (PESHE) to document the strategies used to integrate ESOH considerations into the systems engineering process.
- 2. How the nominee incorporated ESOH requirements and analyses (e.g., system safety analyses, emissions characterizations, hazardous materials elimination/reduction) into solicitations, contracts, and other requirements documents.
- 3. How the nominee prioritized and addressed ESOH risks associated with the system with respect to the system's life cycle.
- 4. How the nominee evaluated and/or gave preference to using energy-efficient and environmentally preferable products/materials for use on and/or in support of the respective system or subsystems.
- 5. How the nominee coordinated with the user, receiving installations, and training locations to ensure effective communication of system hazards and ESOH risks to support fielding and NEPA and E.O. 12114 analyses and documentation.

ESOH Risk Management (if applicable):

- 1. Describe how the program identified and mitigated hazards, and tracked ESOH risks using the methodology in MIL-STD 882D and progress made during the award period.
- 2. Identify how the program reviewed ESOH risks and technology requirements at program technical reviews. Discuss the following:
 - a. How the program coordinated high and serious risks with the user representative.
 - b. How the nominee ensured the risks were formally accepted at the appropriate management level in accordance with DoD policy.
 - c. How the nominee presented these risks at program and technical reviews and fielding decisions.
- 3. List high and serious risk(s) identified, mitigation measures, and level of success reducing the risk, user involvement in the process, and transferability within DoD.
- 4. Describe potential life cycle cost avoidance or savings from design and/or process changes identified to mitigate system-related ESOH risks over the life cycle.

Hazardous Materials Management and Pollution Prevention (if applicable):

- 1. Describe the approach used to identify and characterize hazardous materials, wastes, emerging technologies, and pollutants (discharges/emissions/noise) associated with the system and plans for minimization, control and/or safe disposal.
- 2. Summarize if and how the nominee developed a hazardous materials management plan and document usage of hazardous materials in the program's hazard tracking system and PESHE.

- 3. When using potentially hazardous materials, explain how the nominee took steps to select those materials that posed the least risk throughout the life cycle of the system. When applicable, highlight how the nominee identified environmentally preferable products and tracked these products to ensure their inclusion in systems design specifications and drawings, technical manuals, and authorized materials lists.
- 4. Describe the nominee's efforts to determine whether alternatives were available and effective to meet the safety, health, reliability, and other mission-related requirements of the system.
- 5. Discuss how the ESOH effort provided input to demilitarization and disposal planning for the system/subsystem to include information on hazardous materials, safety precautions, and other ESOH considerations.

Internal Execution and Documentation:

- 1. Identify the ESOH responsibilities within the program.
- 2. Explain the strategy for executing and integrating ESOH considerations into the systems engineering process.
- 3. Identify ESOH risks and their status.
- 4. Describe the method for tracking hazards throughout the life cycle of the system.
- 5. Identify hazardous materials, wastes, and pollutants (discharges/emissions/noise) associated with the system and plans for their minimization and/or safe disposal.
- 6. State if the nominee incorporated a compliance schedule covering all system-related activities for NEPA and E.O. 12114 compliance, as appropriate.

External Coordination of ESOH Risks Management:

- 1. Describe actions implemented to enhance acquisition ESOH awareness at any level or any functional area within the program and/or DoD.
- 2. Summarize how cooperation with federal, state, and local agencies, organizations, and academic institutions influenced weapon system acquisition.
- 3. Describe how the program performed technology transition efforts that other programs across the Components could implement.
- 4. Explain how well the nominee succeeded in involving and coordinating with the test and evaluation team, user community, receiving organization(s), and others with respect to integrating ESOH risk management in the lifecycle of the program.
- 5. State if the nominee's effort resulted in minimized cost, schedule, or performance risks to the program by minimizing ESOH risks.
- 6. Describe how well the nominee quantified its accomplishments to demonstrate the scale of projects and impacts of successes.
- 7. Discuss how well the nominee communicated ESOH risks.
- 8. Summarize the nominee's success in involving user organizations and program/ IPT external to the ESOH effort and in raising awareness of ESOH considerations and risks associated with the system.
- 9. Explain how well the nominee ensured they transferred mitigations through lessons learned to other weapon system programs.

<u>Summary of Accomplishments</u>: Describe the most outstanding ESOH related accomplishments of the nominee during the achievement period. Summarize how the nominee implemented innovative techniques (if applicable), whether or not any of them were successful, and if so, how they were successful. List and describe program related awards and other special recognition given to the nominee during FY 2011-FY 2012. Describe the nominee's related professional achievements, including community service work and participation in ESOH related professional organizations. Explain how the nominee's accomplishments are distinct from past successes or significantly support the mission. Illustrative examples of accomplishments can be found at Tab F3; however, the nominee is not limited to those examples.

Tab F2

Environmental Excellence in Small Program Weapon System Acquisition Judging Guidance

General: Judge the nominees qualitatively relative to the following six criteria.

Program Management: Did the nominee manage and document the ESOH effort to meet acquisition program/capability requirements and to reduce ESOH related drivers of total ownership costs over the life cycle of the system?

Technical Merit: Did the technical merits of the nominee's ESOH effort integrate life cycle ESOH risk management into the systems engineering process using the methodology in DoDI 5000.02, *Operation of the Defense Acquisition System*, December 8, 2008; MIL-STD-882D, DoD Standard Practice for System Safety, February 10, 2000; and MIL-STD-882E, Department of Defense Standard Practice: System Safety, May 11, 2012?

Orientation to Mission:

- 1. Did the program orient its ESOH effort to optimize mission sustainability, mission readiness, and total ownership costs?
- 2. If it was a program requirement, how effectively did the ESOH effort help meet urgent military needs (e.g., rapid fielding) through agile and flexible application of ESOH expertise to support developing, testing, and fielding of new military capabilities?

Transferability:

- 1. How well did the program incorporate ESOH lessons learned from similar legacy systems and mishap data from the Service Safety Centers?
- 2. How well did the nominee communicate ESOH risks effectively to others?
- 3. Did the nominee ensure that they transferred mitigations through lessons learned to other weapon system programs?

Stakeholder Interaction: How effectively did the nominee execute and document the ESOH effort in the SEP and in the PESHE?

Project Impact:

- 1. Will program accomplishments outlive the presence of the specific individual(s) responsible for the program's success?
- 2. Is there a framework in place to build on the nominee's accomplishments over time?

Tab F3

Environmental Excellence in Small Program Weapon System Acquisition Examples of Accomplishments

ESOH:

- 1. Executing, managing, and integrating ESOH efforts into the systems engineering process.
- 2. Integrating the ESOH risk management into the systems engineering process (e.g., effectively implementing MIL-STD-882D, pollution prevention, hazardous material management, and NEPA and E.O. 12112 compliance actions).
- 3. Orienting the program's ESOH effort to optimize mission sustainability, mission readiness, and total ownership costs.
- 4. Effectively executing and documenting the ESOH effort requirements and ESOH risk status in the SEP, Test and Evaluation Master Plan, and PESHE.
- 5. Successfully involving user organizations and program/IPTs external to the ESOH effort in identifying/mitigating ESOH hazards and in raising awareness of ESOH considerations and risks associated with the system.
- 6. Planning and supporting system related NEPA/E.O. 12114 analyses by providing system specific data and other relevant information to complete the analyses.

Acquisition Compliance:

 Describe the activities being undertaken by the nominee to meet the requirements of DoD Directive 5000.01, *The Defense Acquisition System*, May 12, 2003; DoD Instruction 5000.02, *Operation of the Defense Acquisition System*, December 8, 2008; MIL-STD-882D, *DoD Standard Practice for System Safety*, February 10, 2000 or MIL-STD-882E, *Department of Defense Standard Practice: System Safety*, May 11, 2012. [See also the *Defense Acquisition Guidebook* (<u>https://dag.dau.mil/Pages/Default.aspx</u>)]. Examples include acquiring quality products that satisfy user needs with measurable improvements to mission capability and operational support, in a timely manner, and at a fair and reasonable price; assessing ESOH risks during formal program assessments following a system-level Post-Critical Design Review Assessment; evaluating ESOH during lifecycle sustainment considerations; disposing of systems in accordance with environmental regulatory requirements; and evaluating the potential testing impacts of a system on the environment and personnel.

Total Systems Approach: Summarize how well the program evaluated the system using the total systems approach to address potential ESOH risks, including the following:

- 1. All ESOH regulatory compliance requirements associated with the system throughout its life cycle.
- 2. Hazardous material use and hazardous waste generation.
- 3. Pollution (e.g., effluents, discharges, emissions, noise).
- 4. Safety (including system safety, explosives safety, ionizing and non-ionizing radiation).
- 5. Human health (associated with exposure to chemical, physical, biological, or ergonomic hazards, etc.).
- 6. Environmental and occupational noise, and impacts to the natural environment.
- 7. NEPA/E.O. 12114 analysis and impacts on the physical environment as appropriate.

8. Potential hazards to the system derived from ESOH risks.

Sustainability:

- 1. Reducing the environmental footprint associated with hazardous waste applications.
- 2. Reducing emissions.

Program Management:

- 1. Successfully incorporating environmental analysis into the acquisition decision making process.
- 2. Proactively removing hazardous materials from systems and using government/commercial information sources to identify existing materials alternatives that are commercially available.

Technology Transfer:

- 1. Actively participating in research, development, and technology demonstration and validation projects, particularly those that support testing and fielding of new military capabilities.
- 2. Collaborating with partners to develop and share solutions to complex environmental and performance challenges.